

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
23 September 2004 (23.09.2004)

PCT

(10) International Publication Number  
**WO 2004/080413 A2**

(51) International Patent Classification<sup>7</sup>: **A61K**

(21) International Application Number:  
PCT/US2004/007291

(22) International Filing Date: 11 March 2004 (11.03.2004)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/453,604 11 March 2003 (11.03.2003) US  
60/510,613 10 October 2003 (10.10.2003) US  
Not furnished 10 March 2004 (10.03.2004) US

(71) Applicant (for all designated States except US):  
**ANTARES PHARMA IPL AG** [CH/CH]; Treuhand  
von Flue AG, Baarerstrasse 95, Postfach 1260, CH-6306  
Zug (CH).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **CARRARA, Dario,**  
**Norberto, R.** [AR/CH]; Storchweg 3, CH-4104 Oberwil  
(CH). **GRENIER, Arnaud** [FR/FR]; 39A, rue Rogg Haas,

F-68510 Sierentz (FR). **BESSE, Céline** [FR/FR]; 7, rue  
de Genève, F-68300 Saint Louis (FR). **SIMES, Stephen,**  
**M.** [US/US]; 1173 Fairview Lane, Long Grove, IL 60047  
(US). **LEHMAN, Leah, M.** [US/US]; 14308 W. Braemore  
Close, Green Oaks, IL 60048 (US).

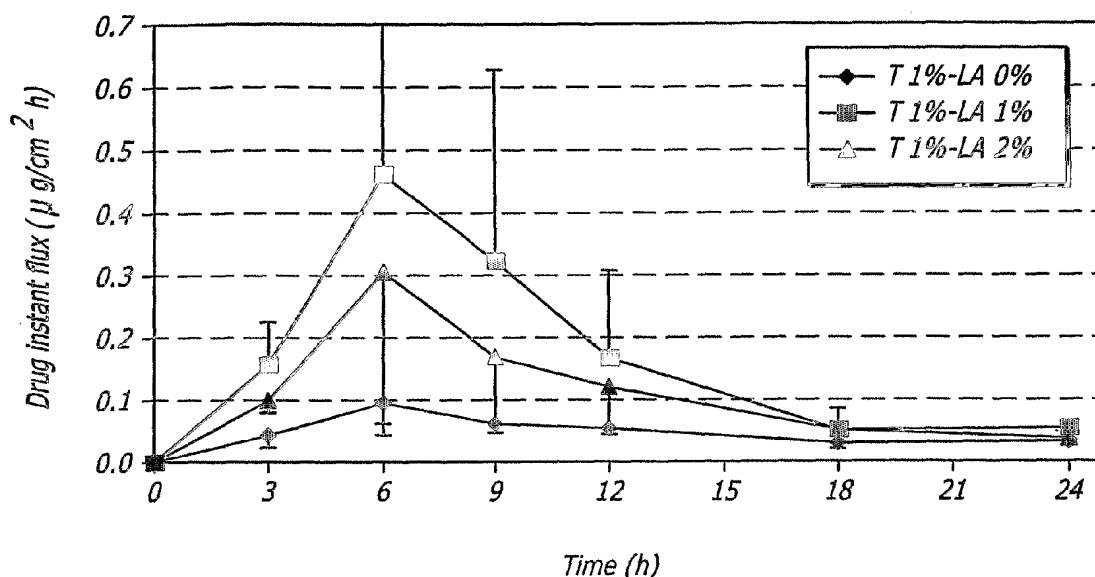
(74) Agents: **FANUCCI, Allan, A.** et al.; Winston & Strawn  
LLP, Patent Department, 1400 L Street, N.W., Washington,  
DC 20005-3502 (US).

(81) Designated States (unless otherwise indicated, for every  
kind of national protection available): AE, AG, AL, AM,  
AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN,  
CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI,  
GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE,  
KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD,  
MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG,  
PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM,  
TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM,  
ZW.

(84) Designated States (unless otherwise indicated, for every  
kind of regional protection available): ARIPO (BW, GH,  
GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),  
Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), Euro-  
pean (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR,

[Continued on next page]

(54) Title: USES AND FORMULATIONS FOR TRANSDERMAL OR TRANSMUCOSAL APPLICATION OF ACTIVE AGENTS



(57) Abstract: Uses and formulations for providing transdermal or transmucosal delivery of active agents to subjects in need thereof. The formulations and methods treat symptoms of hormonal disorders including hypogonadism, female sexual desire disorder, female menopausal disorder, and adrenal insufficiency.

WO 2004/080413 A2



GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

**Published:**

— *without international search report and to be republished upon receipt of that report*

**USES AND FORMULATIONS FOR TRANSDERMAL  
OR TRANSMUCOSAL APPLICATION OF ACTIVE AGENTS**

**BACKGROUND OF THE INVENTION**

5    **Field of Invention**

The present invention relates generally to formulations and methods of providing transdermal or transmucosal delivery of active agents to subjects. In particular, the invention relates to formulations and methods for treating symptoms of hypogonadism, female menopausal symptoms, female sexual desire disorder, hypoactive sexual disorder and adrenal  
10    insufficiency.

**Background Art**

Reduced levels of endogenous steroid hormones in humans often leads to a variety of undesirable clinical symptoms. For example, men with low testosterone levels (hypogonadism) may result in clinical symptoms including impotence, lack of sex drive,  
15    muscle weakness, and osteoporosis. Similarly, in women, reduced levels of testosterone and/or estrogen may result in female sexual disorder, which include clinical symptoms such as lack of sex drive, lack of arousal or pleasure, low energy, reduced sense of well-being, and osteoporosis. Moreover, reduced levels of estrogen and/or progesterone in women, such as that due to menopause, often result in clinical symptoms including hot flashes, night sweats,  
20    vaginal atrophy, decreased libido, and osteoporosis.

In addition to reduced levels of endogenous steroid hormones such as those described above, adrenal insufficiency leads to reduced levels of dehydroepiandrosterone (DHEA) in men and women. The adrenal glands are also involved in the production of many hormones in the body, including DHEA and sex hormones such as estrogen and testosterone.  
25    Consequently, adrenal insufficiency can lead to reduced levels of DHEA and sex hormones which can lead to the clinical symptoms described above.

Although steroid hormone concentrations may be restored to normal or near-normal levels by hormone replacement therapy, the current forms of treatment (i.e., oral, intramuscular, subcutaneous, transdermal patches and topical formulations) have several  
30    disadvantages. For example, orally administered testosterone is largely degraded in the liver, and is therefore not a viable option for hormone replacement since it does not allow testosterone to reach systemic circulation. Further, analogues of testosterone modified to

reduce degradation (e.g., methyltestosterone and methandrostenolone) have been associated with abnormalities to liver function, such as elevation of liver enzymes and conjugated bilirubin. Injected testosterone produces wide peak-to-trough variations in testosterone concentrations that do not mimic the normal fluctuations of testosterone making maintenance  
5 of physiological levels in the plasma difficult. Testosterone injections are also associated with mood swings and increased serum lipid levels. Injections require large needles for intramuscular delivery, which leads to diminished patient compliance due to discomfort. Commonly, estrogen is often administered orally. This route of administration has been also associated with complications related to hormone metabolism, resulting in inadequate levels  
10 of circulating hormone. Further, side-effects seen with the use of oral estrogen include gallstones and blood clots. To overcome these problems, transdermal delivery approaches have been developed to achieve therapeutic effects in a more patient friendly manner.

Advantageously, transdermal and/or transmucosal delivery of active agents provide a convenient, pain-free, and non-invasive method of administering active agents to a subject.  
15 Additionally, the administration of active agents through the skin or mucosal surface avoids the well-documented problems associated with the "first pass effect" encountered by oral administration of active agents.

Although the transdermal and/or transmucosal delivery of active agents overcome some of the problems associated with oral administration of active agents, such as that  
20 described above, they are not free of their own drawbacks. Problematically, transdermal drug delivery systems are typically restricted to low-molecular weight drugs and those with structures having the proper lipophilic/hydrophilic balance. High molecular weight drugs, or drugs with too high or low hydrophilic balance, often cannot be incorporated into current transdermal systems in concentrations high enough to overcome their impermeability through  
25 the stratum corneum. Specifically, polar drugs tend to penetrate the skin too slowly, and since most drugs are of a polar nature, this limitation is significant.

Efforts have been made in the art to chemically modify the barrier properties of skin to permit the penetration of certain agents (since diffusion is primarily controlled through the stratum corneum), enhance the effectiveness of the agent being delivered, enhance delivery  
30 times, reduce the dosages delivered, reduce the side effects from various delivery methods, reduce patient reactions, and so forth.

In this regard, penetration enhancers have been used to increase the permeability of the dermal surface to drugs, and are often proton accepting solvents such as dimethyl

sulfoxide (DMSO) and dimethylacetamide. Other penetration enhancers that have been studied and reported as effective include 2-pyrrolidine, N,N-diethyl-m-toluamide (Deet), 1-dodecal-azacycloheptane-2-one N,N-dimethylformamide, N-methyl-2-pyrrolidine, calcium thioglycolate, hexanol, fatty acids and esters, pyrrolidone derivatives, derivatives of 1,3-dioxanes and 1,3-dioxolanes, 1-N-dodecyl-2-pyrrolidone-5-carboxylic acid, 2-pentyl-2-oxo-pyrrolidineacetic acid, 2-dodecyl-2-oxo-1-pyrrolidineacetic acid, 1-azacycloheptan-2-one-2-dodecylacetic acid, and aminoalcohol derivatives, including derivatives of 1,3-dioxanes, among others.

The most common penetration enhancers, however, are toxic, irritating, oily, odiferous, or allergenic. Specifically, the penetration enhancers used and thought to be necessary to transdermally deliver active agents such as steroid hormones, namely, compounds such as long chain fatty acids such as oleic acids, fatty alcohols such as lauryl alcohol and long-chain fatty esters such as isopropyl myristate, tend to include aliphatic groups that make the formulations oily and malodorous.

For example, US Patent 5,891,462 teaches the use of lauryl alcohol as a permeation enhancer for estradiol and norethindrone acetate. Such formulations are not appealing to the user nor to anyone else in close proximity to the user. Although this particular patent discloses three examples of estradiol or norethindrone acetate formulations having no lauryl alcohol component, such formulations are comparative examples that are intended to illustrate the long held position that long chain fatty alcohols such as lauryl alcohol are necessary to transdermally deliver norethindrone acetate in combination with estradiol to a subject.

Additionally, for example, the known testosterone gel formulations FORTIGEL® and TOSTRELLE® (Cellegy Pharma, South San Francisco, CA), both include ethanol, propanol, propylene glycol, carbomer, triethanolamine, purified water, and oleic acid as a permeation enhancer, the latter being responsible for the irritating and malodorous characteristics of these formulations. Also, TESTIM® (Auxilium Pharmaceuticals, Norristown, PA) is a 1% testosterone gel and includes pentadecalactone, acrylates, glycerin, polyethylene glycol (PEG), and pentadecalactone as a permeation enhancer. It is a very odoriferous compound. Also, TESTIM® is not desirable because it contains undesirable amounts of glycerin which are not well tolerated by the skin.

### SUMMARY OF THE INVENTION

The present invention generally relates to formulations and methods for transdermal or transmucosal delivery of at least one active agent to subjects, namely mammals such as humans. The invention further relates to methods of treating hormonal disorders by the  
5 transdermal or transmucosal administration of active agents.

In one aspect of the invention, a transdermal or transmucosal formulation is provided for administration of at least one active agent. The formulation comprises at least one active agent, and a delivery vehicle comprising an alkanol, a polyalcohol, and a permeation enhancer in an amount sufficient to provide permeation enhancement of the active agent  
10 through mammalian dermal or mucosal surfaces. In this particular embodiment, the active agent is not testosterone alone, and when the active agent includes estrogen or progestin, the formulation does not include a therapeutically effective amount of the estrogen or progestin, respectively. Advantageously, the formulation is substantially free of long-chain fatty alcohols, long-chain fatty acids, or long-chain fatty esters to avoid undesirable odor and  
15 irritation during use of the formulation, which are caused by such compounds.

In another aspect of the invention, the formulation comprises an active agent, and a delivery vehicle comprising an alkanol, a polyalcohol, and a permeation enhancer of a tetraglycol furol. The permeation enhancer is present in an amount sufficient to provide permeation enhancement of the active agent through mammalian dermal or mucosal surfaces.  
20 A preferred permeation enhancer is glycofurol.

Another aspect of the invention provides a method for treating hormonal disorders in a subject. The method comprises administering to a subject in need of such treatment, a formulation comprising an effective dosage of at least one active agent and a delivery vehicle comprising alkanol, a polyalcohol, and a permeation enhancer in an amount sufficient to  
25 provide permeation enhancement of the active agent through mammalian dermal or mucosal surfaces. Advantageously, the formulation decreases the frequency of at least one clinical symptom of the hormonal disorder, such as hot flashes, night sweats, decreased libido, vaginal atrophy, and osteoporosis. Further, the at least one active agent may be selected from an androgen, estrogen, progestin, or a combination thereof. The formulation may include  
30 primary and secondary active agents that are administered concurrently.

In another aspect of the invention, the method comprises administering to a subject in need of treatment, a formulation comprising at least one active agent and a delivery vehicle

comprising an aliphatic alcohol, a polyalcohol, and a permeation enhancer of a tetraglycol furol in an amount sufficient to provide permeation enhancement of the active agent through dermal or mucosal surfaces. Preferably, the aliphatic alcohol is present in an amount of between about 5 to 80% by weight of the delivery vehicle, the polyalcohol and the permeation enhancer are each present in an amount between about 1% and 30% of the delivery vehicle, the permeation enhancer is glycofurol and water is optionally present in the vehicle.

In another aspect of the invention, the method for treating hormonal disorders comprises administering to a subject in need of treatment, a formulation comprising at least one active agent, provided that the active agent is not testosterone alone, and that when the active agent is estrogen or progestin, a therapeutically effective amount of a progestin or estrogen, respectively, is not present in the formulation. The delivery vehicle comprises an aliphatic alcohol, a polyalcohol, and a permeation enhancer in an amount in an amount sufficient to provide permeation enhancement of the active agent through dermal or mucosal surfaces. The formulation is substantially free of long-chain fatty alcohols, long-chain fatty acids, and long-chain fatty esters to avoid undesirable odor, irritation, and greasy texture caused by such compounds.

Another embodiment of the invention comprises a method of use of a formulation comprising an effective dosage of at least one active agent and a delivery vehicle comprising an alkanol, a polyalcohol and a permeation enhancer for treating hormonal disorders in a subject, wherein the permeation enhancer is present in an amount sufficient to provide permeation enhancement of the active agent through mammalian dermal or mucosal surfaces. Any of the formulations disclosed herein can be used in this method.

Another embodiment is directed to the use of a permeation enhancer to provide permeation enhancement of an effective dosage of at least one active agent through mammalian dermal or mucosal surfaces characterized in that the permeation enhancer is added to a delivery vehicle for the formulation. The effective dosage is used to treat hormone disorders in the subject, and preferred permeation enhancers and formulations as those disclosed herein.

Yet another embodiment of the invention relates to the use of any of the formulations disclosed herein for the preparation of a medicament for treating hormonal disorders in a subject.

The formulations of the invention may be in the form of a gel, lotion, cream, spray, aerosol, ointment, emulsion, suspension, liposomal system, lacquer, patch, bandage, or occlusive dressing and the like.

5 The invention further includes in a kit including the formulations described above, as well as instructions for use of the same. The kit generally includes a container that retains the formulation and has a dispenser for releasing or applying a predetermined dosage or predetermined volume of the formulation upon demand. The dispenser can also automatically release the predetermined dosage or volume of the composition upon activation by the user.

10

### BRIEF DESCRIPTION OF THE DRAWINGS

The features and benefits of the invention will now become more clear from a review of the following detailed description of illustrative embodiments and the accompanying drawings, wherein:

15 FIG. 1 is a graph depicting drug flux over time for testosterone in formulations including various amounts of lauryl alcohol (LA) in an *in vitro* model using human excised skin and 10 mg testosterone/cm<sup>2</sup> in the loading chamber (n=3-4±SD).

FIG. 2 is a graph depicting drug flux over time for testosterone in formulations including various amounts of lauryl alcohol (LA) in an *in vitro* model using human excised skin and 50 mg testosterone/cm<sup>2</sup> in the loading chamber (n=3-4±SD).

20

FIGS. 3A, B & C are graphs depicting median total, free and bioavailable testosterone serum concentrations following administration of 1%T+0%LA gel *in vivo* over a sampling period on days 1, 7, 14, and 21, respectively.

FIGS. 3D, E & F are graphs depicting mean bioavailable and free testosterone serum concentrations after different dose regimens and treatments with a 1%T + 2%LA gel *in vivo* over a sampling period on days 1, 7, 14, respectively.

25

FIG. 4A is a graph depicting mean serum concentrations of estradiol (E2) following single dose administration of E2 + 0%LA gel (a=0.75 mg E2; b=1.50 mg E2).

FIG. 4B is a graph depicting mean trough concentrations of E2 over time following repeated administration of E2 + 0%LA gel (a=0.75 mg E2; b=1.50 mg E2).

30



FIG. 4C is a graph depicting mean trough concentrations of E2 over time following repeated administration of E2 + 0%LA gel in one subject (2.5 g;  $\pm$  SD; 240.0 H-value out of scale (28.0 ng/dl)).

FIG. 4D is a graph depicting individual trough concentrations of E2 over time  
5 following repeated administration of E2 + 0%LA gel at both doses.

FIG. 4E is a graph depicting mean serum concentrations of E2 following multiple dose administration of E2 + 0%LA gel (a=0.75 mg E2; b=1.50 mg E2).

FIG. 4F is a graph depicting mean serum concentrations of estrone (E1) following single dose administration of E2 + 0%LA gel (a=0.75 mg E2; b=1.50 mg E2).

10 FIG. 4G is a graph depicting mean trough concentrations of E1 following repeated administration of E2 + 0%LA gel (a=0.75 mg E2; b=1.50 mg E2).

FIG. 4H is a graph depicting mean serum concentrations of E1 following multiple dose administration of E2 + 0%LA gel (a=0.75 mg E2; b=1.50 mg E2).

15 FIG. 4I is a graph depicting mean serum concentrations of estrone-sulfate (E1-sulfate) following single dose administration of E2 + 0%LA gel (a=0.75 mg E2; b=1.50 mg E2).

FIG. 4J is a graph depicting mean trough concentrations of E1-sulfate following multiple dose administration of E2 + 0%LA gel (a=0.75 mg E2; b=1.50 mg E2).

FIG. 4K is a graph depicting mean serum concentrations of E1-sulfate following multiple dose administration of E2 + 0%LA gel (a=0.75 mg E2; b=1.50 mg E2).

20 FIG. 5A is a graph depicting mean change from baseline in daily moderate-to-severe hot flush rate after E2 + 0%LA gel at various doses. (Intent-to-treat efficacy population ("ITT"); Method of last observation carried forward for subjects who discontinued early ("LOCF").

FIG. 5B is a graph depicting mean change from baseline in daily moderate-to-severe  
25 hot flush rate after E2 + 0%LA gel at various doses (Evaluable-LOCF).

FIG. 5C is a graph depicting mean change from baseline in daily hot flush mean severity after E2 + 0%LA gel at various doses (ITT-LOCF).

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The formulations of the present invention may be clear, water washable, cool to the touch, quick drying, spreadable and/or a non-greasy formulations, such as a gel. In other aspects of the invention, the formulation may be a spray, ointment, aerosol, patch, buccal and sublingual tablets, suppositories, vaginal dosage form, or other passive or active transdermal devices for absorption through the skin or mucosal surface. The preferred formulations of the present invention may be applied directly to the skin such as by, for example and not limitation, a gel, ointment, or cream or indirectly through a patch, bandage, or other occlusive dressing.

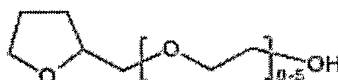
Advantageously, the omission of the long chain fatty alcohols and long-chain fatty acids provides a formulation that does not have the unpleasant odor of the prior art formulations. Thus, the formulation in accordance with the present invention will result in greater patient compliance. The inventive formulations are substantially free of such alcohols and fatty acids, so that the odors associated with those compounds do not emanate from the formulation. In this regard, "substantially free" means an amount which does not impart a perceptible odor to the formulation at a distance of 1 meter. Such formulations are also deemed to be substantially odor free. For the purpose of example and illustration, a formulation comprising fatty alcohols, fatty acids and/or fatty esters in an amount of less than about 0.04% by weight of the formulation is substantially odor free.

The present invention relates generally to formulations for providing active agents to subjects. The invention further relates to formulations for the transdermal or transmucosal administration of active agents that are substantially free of malodorous long-chain fatty alcohols and long-chain fatty acids. Surprisingly, the formulation of the present invention can achieve sufficient absorption to result in an effective dosage of the selected active agent(s) circulating in serum without the inclusion of the long-chain fatty alcohols and the long-chain fatty acids that have been used to date.

The formulations of the invention may include at least one or a combination of active agents. The "active agent" is used herein to refer to a substance or formulation or combination of substances or formulations of matter which, when administered to an organism (human or animal) induces a desired pharmacologic and/or physiologic effect by local and/or systemic action.

The delivery vehicle of the present invention comprises an aliphatic alcohol, such as a C<sub>2</sub> to C<sub>4</sub> alkanol, a polyalcohol, a permeation enhancer of monoalkyl ether of diethylene glycol or a tetraglycol furol, in an amount sufficient to provide permeation enhancement of the active agent through mammalian dermal or mucosal surfaces, and optionally water.

5 For example, the monoalkyl ether of diethylene glycol is diethylene glycol monomethyl ether or diethylene glycol monoethyl ether or mixtures thereof. The tetraglycol furol is represented by the formula:



10 A preferred compound is known as glycofurol. Also, for example, preferred polyalcohols include propylene glycol, dipropylene glycol or mixtures thereof.

Preferably, the polyalcohol is present in an amount between about 1% and 30% of the vehicle; and the permeation enhancer is present in an amount of between about 0.2% and 30% of the vehicle. Preferably, the polyalcohol and permeation enhancer is present in a weight ratio of 2:1 to 1:1, or in a weight ratio of 1.25 :1 to 1.2 :1.

15 For the purpose of illustration and not limitation, the aliphatic alcohol is selected from the group including: C<sub>2</sub>-C<sub>4</sub> alkanol, such as ethanol, isopropanol, and n-propanol. The alkanol is preferably ethanol.

Preferably, the alkanol is present in an amount of about 5 to about 80% w/w; preferably from about 15% to about 65% w/w and more preferably 20 to 55% w/w.

20 As known in the art, the amount of the alcoholic component of the formulation may be selected to maximize the diffusion of the active agent through the skin while minimizing any negative impact on the active agent itself or desirable properties of the formulation.

The present invention also relates to methods for treating hormonal diseases, disorders, or conditions in a subject in need of such treatment. The method generally  
25 comprises administering to the subject a formulation comprising an effective dosage of at least one active agent; and a delivery vehicle.

In one preferred embodiment of the invention, the method comprises treating hormonal disorders selected from the group consisting of hypogonadism, female sexual disorder, hypoactive sexual disorder, and adrenal insufficiency, the method comprising

administering to a subject in need of such treatment a formulation comprising an effective dosage of at least one active agent, and a delivery vehicle comprising an alkanol, a polyalcohol, and a permeation enhancer in an amount sufficient to provide permeation enhancement of the active agent through mammalian dermal or mucosal surfaces.

5 Administration of the formulation decreases the frequency of at least one of the clinical symptoms of the hormonal disorder being treated. For example, administration of the formulation is helpful in decreasing the frequency of symptoms such as hot flashes, night sweats, decreased libido, and osteoporosis to name just a few.

10 In another preferred embodiment, a method is provided for treating a subject for hormonal disorders comprising administering to the subject in need of such treatment at least one active agent and a delivery vehicle comprising an aliphatic alcohol, a polyalcohol, and a permeation enhancer of a tetraglycol furol in an amount sufficient to provide permeation enhancement of the active agent through dermal or mucosal surfaces. Preferably, the tetraglycol furol is glycofurol.

15 Preferably, the formulations administered to the subject are substantially free of long-chain fatty alcohols, long-chain fatty acids, and long-chain fatty alcohols to avoid undesirable odor and irritation during use of the formulation.

20 The subject in need of treatment may be male or female. Thus, the type of active agents selected for the formulation and method of treatment, and the effective dosages of the active agents is in part dependent on the sex of the subject to be treated, and the type of hormonal disorder being treated.

25 For the purpose of illustration and not limitation, and in accordance with the invention, for example, a woman undergoing treatment may be of childbearing age or older, in whom ovarian estrogen, progesterone and/or androgen production has been interrupted either because of natural menopause, surgical procedures, radiation, chemical ovarian ablation or extirpation, or premature ovarian failure. In addition to natural menopause and aging, a decline in total circulating androgens leading to testosterone deficiency can be attributed to conditions that suppress adrenal androgen secretion (i.e., acute stress, anorexia nervosa, Cushing's syndrome, and pituitary renal insufficiency), conditions that can decrease  
30 ovarian androgen secretion (i.e., ovarian failure and the use of pharmacologic doses of glucocorticoids), and chronic illness such as muscle-wasting diseases like Acquired Immune Deficiency Syndrome (AIDS). Thus, the term "hormonal disorder" as used herein means any condition that causes a suppression or reduction of hormonal secretions in a subject.

In addition to treating female subjects for female menopausal symptoms due to aging and other factors as discussed above, reduced levels of androgens (and estrogens) in women may lead to female sexual dysfunction (FSD) resulting in clinical symptoms such as lack of sex drive, arousal or pleasure; low energy, reduced sense of well-being and osteoporosis. Preferred results of using the formulations of the invention to treat FSD in women may include one or more of the following: increased energy, increased sense of well-being, decreased loss of calcium from bone, and increased sexual activity and desires.

In pre-menopausal women, total plasma testosterone concentrations generally range from 15-65 ng/dL (free testosterone in pre-menopausal women is approximately 1.5 to 7 pg/ml) and fluctuate during the menstrual cycle, with peaks of androgen concentration corresponding to those of plasma estrogens at the pre-ovulatory and luteal phases of the cycle. In the years leading to postmenopausal transition, levels of circulating androgens begin to decline as a result of age-related reductions of both ovarian and adrenal secretion. There are reports in studies that 24-hour mean plasma testosterone levels in normal pre-menopausal women in their 40's are half that of women in their early 20's. It has been generally accepted, however, that women with androgen deficiency have total testosterone levels <25 ng/dL (<50-years-old) or <20 ng/dL ( $\geq$  50-years-old) while oophorectomized women can have total testosterone levels <10 ng/dL.

In this regard, the method may include administering to the female subject a therapeutically effective dosage of testosterone from about 1 mg to about 3 mg each 24 hours. Therefore, the formulation preferably provides the subject with a total serum concentration of testosterone from at least about (>30 ng/dL) 15 to about 55 ng/dL, or a free serum concentration of testosterone from about 2 to about 7 pg/mL.

Moreover, studies have shown that testosterone replacement combined with estrogen replacement therapy ("ERT") improves parameters of sexual function and well-being versus ERT alone. A decline in rates of sexual intercourse and fewer sexual thoughts and fantasies has been associated with significant decreases in estradiol and testosterone. A decrease in testosterone has also been associated with decreased frequency of coitus. Although estradiol treatment alone in oophorectomized women improved vasomotor symptoms, vaginal dryness, and general well-being, little improvement in libido has been observed. Increased sexual drive, arousal, and frequency of sexual fantasies were observed in hysterectomized and oophorectomized women with testosterone-enanthate injections over and above those observed with ERT alone. Therefore, in accordance with the method of the

invention, treating female subjects comprising administration of formulations comprising active agents including both an androgen, preferably testosterone, and an estrogen, as well as treating female subjects comprising administering formulations comprising estradiol alone as the active agent.

5           Another study in women who were naturally or surgically menopausal with inadequate ERT for  $\geq 4$  months showed significant improvements in sexual sensation and desire after 4 and 8 weeks of androgen/estrogen treatment vs. placebo or estrogen treatment alone. Sexual desire, arousal, well-being, and energy levels were enhanced with androgen/estrogen therapy in studies in surgically menopausal women. Results of improved  
10           libido with subcutaneous testosterone implants in combination with subcutaneous estrogen implants in postmenopausal women have also been reported. In women who have undergone oophorectomy and hysterectomy, transdermal testosterone improved sexual function and psychological well-being. To achieve good response in terms of libido, plasma testosterone levels need to be restored to about at least the upper end of the normal physiologic range  
15           observed in young ovulating women.

          Therefore, treatment with a separate or the same composition including estrogen may be desirable to achieve at least the preferred results described above. A pre-menopausal female subject generally has a serum concentration of estradiol from about 30 to 100 pg/mL, whereas normal post-menopausal levels are below 20 pg /mL.

20           Further, reduced levels of estrogens (and progestin) in women, such as due to aging, leads to menopause resulting in clinical symptoms such as hot flashes and night sweats, vaginal atrophy, decreased libido, increased risk of heart disease and osteoporosis. Preferred results of using a composition of the present invention may include one or more of the following: decreased incidence and severity of hot flashes and night sweats, decreased loss of  
25           calcium from bone, decreased risk of death from ischemic heart disease, increased vascularity and health of the vaginal mucosa and urinary tract are and increased sexual activity and desires. Thus, in another preferred embodiment, the method include administering to a female subject in need of treatment, a formulation comprising both an estrogen in combination with a progestin as active agents.

30           As stated above, the methods include treating male subjects for hormonal disorders. For example, the male is treated for hypogonadism (low testosterone levels). Hypogonadism in men may result in clinical symptoms including impotence, lack of sex drive, muscle weakness and osteoporosis. Preferred results of using the compositions of the invention to

treat hypogonadism in men may include one or more of the following: decreased incidence and severity of impotence, decreased loss of calcium from bone, increased muscle strength, and increased sexual activity and desires.

A normal male subject generally has a total serum concentration of testosterone  
5 from about 300 to 1050 ng/dL, whereas hypogonadal men have levels below 300 ng/dL. Therefore, the composition of the invention may be used to provide the subject with a therapeutically effective dosage of testosterone of about 50 mg/day. Therefore, in use the composition preferably provides the subject with a free serum concentration of testosterone from at least about 300 to 1000 ng/dL.

10 In yet another preferred embodiment, a method is provided for treating hormonal disorders in a subject, the method comprising administering to a subject at least one active agent; provided the active agent is not testosterone alone, and that when the active agent is an estrogen, or progestin, a therapeutically effective amount of progestin, or estrogen,  
15 respectively, is not present in the formulation, and a delivery vehicle comprising an aliphatic alcohol, a polyalcohol, and a permeation enhancer in an amount sufficient to provide permeation enhancement of the active agent through dermal or mucosal surfaces; wherein the formulation is substantially free of long-chain fatty alcohols, long-chain fatty acids, and long chain fatty esters to avoid undesirable odor and irritation.

In accordance with the methods of the invention, a subject is treated for adrenal  
20 insufficiency, which results in reduced levels of dehydroepiandrosterone (DHEA) in both men and women. The adrenal glands are involved in the production of many hormones in the body, including DHEA and sex hormones such as estrogen and testosterone. Consequently, reduced levels of DHEA can lead to the symptomology described above. Thus, in accordance with the invention, the method comprises administering to a subject a formulation  
25 comprising DHEA in an effective dosage and a delivery vehicle as described above.

A normal female subject generally has a free serum concentration of DHEA from about 550 to 980 ng/dl, a normal male subject has a free serum concentration of DHEA from about 750 to 1250 ng/dl, . Therefore, the composition of the invention may be used to provide the subject with a therapeutically effective dosage of dehydroepiandrosterone from  
30 about 50 to 200 mg/day. Therefore, in use the composition preferably provides the subject with a serum concentration of dehydroepiandrosterone from at least about 550 up to 1250 ng/ml, depending on the gender of the patients.

Preferred dosage units are capable of delivering an effective amount of the selected active agent, preferably steroid hormones over a period of about 24 hours. By an "effective" or "therapeutically effective" amount of an active agent is meant a nontoxic, but sufficient amount of the agent to provide the desired effect.

5 In other aspects of the invention, formulations are provided comprising an active agent and a delivery vehicle.

The active agent of the formulation may be selected from the group including: androgens, progestogens, anti-estrogens, anti-progestogens, anti-androgens, adrenergic agonists, analgesics, sedatives, amides, arylpiperazines, nerve agents, antineoplastics, anti-  
10 inflammatory agents, anticholinergics, anticonvulsants, antidepressants, antiepileptics, antihistaminics, antihypertensives, muscle relaxants, diuretics, bronchodilators, and glucocorticoids. Alternatively, depending on the course of treatment for the mammalian subject, any other suitable active agent may be used. The following list of active agents is purely an illustration and should not be construed as a limitation.

15 Hormones. In one embodiment of the invention the active agent includes any one of or a combination of steroid or nonsteroid hormones, their precursors, derivatives and analogs, esters and salts thereof including, but not limited to: dehydroepiandrosterone (DHEA), androgens, estrogens and progestins (also referred to as progestogens). For example, the combination of hormones may include androgens plus estrogens, androgens plus  
20 progestogens, or androgens plus estrogens plus progestogens.

Examples of androgens which may be used in this invention include testosterone (17- $\beta$ -hydroxyandrostenone), and testosterone esters, such as testosterone enanthate, testosterone propionate and testosterone cypionate. The aforementioned testosterone esters are commercially available or may be readily prepared using techniques known to those  
25 skilled in the art or described in the pertinent literature. Also, pharmaceutically acceptable esters of testosterone and 4-dihydrotestosterone, typically esters formed from the hydroxyl group present at the C-17 position (such as enanthate, propionate, cypionate, phenylacetate, acetate, isobutyrate, buciclate, heptanoate, decanoate, undecanoate, caprate and isocaprate esters); and pharmaceutically acceptable derivatives of testosterone such as methyl  
30 testosterone, testolactone, oxymetholone and fluoxymesterone may be used.

Other suitable androgenic agents that may be used in the formulations of the present invention include, but are not limited to: the endogenous androgens, precursors and



derivatives thereof, including androsterone, androsterone acetate, androsterone propionate, androsterone benzoate, androstenediol, androstenediol-3-acetate, androstenediol-17-acetate, androstenediol-3,17-diacetate, androstenediol-17-benzoate, androstenediol-3-acetate-17-benzoate, androstenedione, sodium dehydroepiandrosterone sulfate, 4-dihydrotestosterone (dht), 5 adihydrotestosterone, dromostanolone, dromostanolone propionate, ethylestrenol, 5  
nandrolone phenpropionate, nandrolone decanoate, nandrolone furylpropionate, nandrolone cyclohexanepropionate, nandrolone benzoate, nandrolone cyclohexanecarboxylate, oxandrolone, stanozolol.

Examples of estrogens and progestogens which may be useful in this invention  
10 include estrogens such as 17 beta-estradiol, estradiol, estradiol benzoate, estradiol 17 beta-cypionate, estriol, estrone, ethynil estradiol, mestranol, moxestrol, mytatrienediol, polyestradiol phosphate, quinestradiol, quinestrol; progestogens such as allylestrenol, anagestone, chlormadinone acetate, delmadinone acetate, demegestone, desogestrel, dimethisterone, dydrogesterone, ethynilestrenol, ethisterone, ethynodiol, ethynodiol diacetate,  
15 flurogestone acetate, gestodene, gestonorone caproate, haloprogestone, 17-hydroxy-16-methylene-progesterone, 17 alpha -hydroxyprogesterone, 17 alpha-hydroxygesterone caproate, lynestrenol, medrogestone, medroxyprogesterone, megestrol acetate, melengestrol, norethindrone, norethindrone acetate, norethynodrel, norgesterone, norgestimate, norgestrel, norgestrienone, 19-norprogesterone, norvinisterone, pentagestrone, progesterone, natural  
20 progesterone, promegestone, quingestron, trengestone.

Other active agents. Other suitable active agents include but are not limited to anti estrogens, such as tamoxifen, 4-OH tamoxifen; anti progestogens and anti androgens, alpha -adrenergic agonists, such as budralazine, clonidine, epinephrine, fenoxazoline, naphazoline, phenylephrine, phenylpropanolamine, beta -adrenergic agonists such as formoterol,  
25 methoxyphenamine, alpha -adrenergic blockers such as doxazosin, prazosin, terazosin, trimazosin, yohimbine, beta -adrenergic blockers such as atenolol, bisoprolol, carteolol, carvedilol, metoprolol, nadolol, penbutolol, analgesics (narcotics or non-narcotics) such as buprenorphine, dihydromorphine, metazocine, methadone, morphine, morphine derivatives, nicomorphine, oxymorphone.

30 Sedatives. Amides. Arylpiperazines. Other suitable active agents include sedatives and anxyolitics for instance benzodiazepine derivatives such as alprazolam, bromazepam, flutazolam, ketazolam, lorazepam, prazepam; amides such as butoctamide,

diethylbromoacetamide, ibrotamide, isovaleryl diethylamide, niaprazine, tricetamide, trimetozine, zolpidem, zopiclone; arylpiperazines such as buspirone.

Nerve agents. Antineoplastics. Anti-inflammatory agents. Other suitable active agents include nerve agents for smoking cessation, such as nicotine, nicotine citrate and  
5 nicotine tartrate; antineoplastic agents such as 5-fluorouracil; anti-inflammatory agents; anesthetics; antianginals; anticholinergics; anticonvulsants; antidepressants; antiepileptics; antiestrogen; antihistaminics; antiparkinsonians; bronchodilators; diuretics; glucocorticoids; muscle relaxants; narcotic antagonists; antihypothyroids such as levothyroxine, thyroid, thyroxine; antihypertensives for instance benzothiadiazine derivatives such as captopril,  
10 cilazapril, enalapril, lisinopril, perindopril, ramipril; guanidine derivatives such as guanethidine; quinazoline derivatives such as alfuzosin; reserpine derivatives such as reserpine, sulfonamide derivatives such as furosemide; others such as minoxidil, amlodipine, doxazosin mesylate, felodipine, moxonidine, nicardipine hydrochloride, nifedipine, prazosin hydrochloride, etc and calcium channel blockers such as arylalkylamines such as bepridil,  
15 diltiazem, fendiline, gallopamil, terodiline, verapamil; dihydropyridine derivatives such as felodipine, isradipine, nicardipine, nifedipine, nilvadipine, nimodipine, nisoldipine, nitrendipine, piperazine; derivatives such as flunarizine; others such as perhexiline; calcium regulator such as calcifediol, calcitonin, calcitriol, clodronic acid, dihydrotachysterol, elcatonin, etidronic acid, ipriflavone, pamidronic acid, parathyroid hormone, teriparatide  
20 acetate.

The formulation may further include a thickening agent or gelling agent present in an amount sufficient to alter the viscosity of the formulation. A gelling agent can be selected from the group including: carbomer, carboxyethylene or polyacrylic acid such as Carbopol  
25 980 or 940 NF, 981 or 941 NF, 1382 or 1342 NF, 5984 or 934 NF, ETD 2020, 2050, 934P NF, 971P NF, 974P NF, Noveon AA-1 USP; cellulose derivatives such as ethylcellulose, hydroxypropylmethylcellulose (HPMC), ethylhydroxyethylcellulose (EHEC), carboxymethylcellulose (CMC), hydroxypropylcellulose (HPC) (Klucel different grades), hydroxyethylcellulose (HEC) (Natrosol grades), HPMCP 55, Methocel grades; natural gums such as arabic, xanthan, guar gums, alginates; polyvinylpyrrolidone derivatives such as  
30 Kollidon grades; polyoxyethylene polyoxypropylene copolymers such as Lutrol F grades 68, 127. Other gelling agents include chitosan, polyvinyl alcohols, pectins, veegum grades.

Preferably, the gelling agent is Lutrol F grades and Carbopol grades. The gelling agent is present from about 0.2 to about 30.0% w/w depending on the type of polymer. More preferably, the gelling agent includes about 0.5%-5% by weight of a thickening agent.

The amount of the gelling agent in the formulation may be selected to provide the  
5 desired product consistency and/or viscosity to facilitate application to the skin.

Preservatives. The formulation may further include preservatives such as, but not limited to, benzalkonium chloride and derivatives, benzoic acid, benzyl alcohol and derivatives, bronopol, parabens, centrimide, chlorhexidine, cresol and derivatives, imidurea, phenol, phenoxyethanol, phenylethyl alcohol, phenylmercuric salts, thimerosal, sorbic acid  
10 and derivatives. The preservative is present from about 0.01 to about 10.0 % w/w of the formulation depending on the type of compound.

Antioxidant. The formulation may optionally include antioxidants such as such as but not limited to tocopherol and derivatives, ascorbic acid and derivatives, butylated hydroxyanisole, butylated hydroxytoluene, fumaric acid, malic acid, propyl gallate,  
15 metabisulfates and derivatives. The antioxidant is present from about 0.001 to about 5.0 % w/w of the formulation depending on the type of compound.

Buffers. The formulation may further include buffers such as carbonate buffers, citrate buffers, phosphate buffers, acetate buffers, hydrochloric acid, lactic acid, tartaric acid, diethylamine, triethylamine, diisopropylamine, aminomethylamine. Although other buffers  
20 as known in the art may be included. The buffer may replace up to 100% of the water amount within the formulation.

Humectant. The formulation may further include humectant, such as but not limited to glycerin, propylene glycol, sorbitol, triacetin. The humectant is present from about 1 to 10% w/w of the formulation depending on the type of compound.

Sequestering Agent. The formulation may further include a sequestering agent such  
25 as edetic acid. The sequestering agent is present from about 0.001 to about 5 % w/w of the formulation depending on the type of compound.

Surfactant. The formulation may further include anionic, non-ionic or cationic surfactants. The surfactant is present from about 0.1% to about 30% w/w of the formulation  
30 depending on the type of compound.

pH Regulator. Optionally, the formulation may include a pH regulator, generally, a neutralizing agent, which can optionally have crosslinking function. By way of example and

not limitation, the pH regulator may include a ternary amine such as triethanolamine, tromethamine, tetrahydroxypropylethylenediamine, NaOH solution. The pH regulator is present in the formulations in about 0.05 to about 2% w/w.

5       Moisturizers and Emollients. Optionally, the formulation may include moisturizers and/or emollients to soften and smooth the skin or to hold and retain moisture. By way of example and not limitation, moisturizers and emollients may include cholesterol, lecithin, light mineral oil, petrolatum, and urea.

10       For any particular formulation, the active agent and other ingredients may be selected to achieve the desired drug delivery profile and the amount of penetration desired. The optimum pH may also be determined and may depend on, for example, the nature of the hormone, the base, and degree of flux required.

In certain preferred embodiments of the present invention, the formulation may have the following formula.

Table 1:

Estradiol	0.01%-2%
Carbomer	0.05%-4%
Triethanolamine (adjust to pH 5.9)	0.05%-1%
Alcohol	20%-65%
Propylene glycol	1%- 15%
Diethylene glycol monoethyl ether	1%-15%
Ion Exchange Purified Water q. ad.	20%-65%

Table 2

Testosterone	0.01% -10%
Carbomer	0.05%-4%
Triethanolamine (adjust to pH 5.9)	0.05%-1%
Alcohol	20%-65%
Propylene glycol	1%-15%
Diethylene glycol monoethyl ether	1%-15%
Ion Exchange Purified Water q. ad.	20%-65%

5

Table 3

Estradiol	0.01%-1%
Carbomer 940	1.2%
Triethanolamine (adjust to pH 5.9)	0.4%
Alcohol	46.28%
Propylene glycol	6%
Diethylene glycol monoethyl ether	5%
Disodium EDTA	0.06%
Ion Exchange Purified Water q. ad.	100%

Table 4

Testosterone	0.01%-10%
Carbomer 980	1.2%
Triethanolamine (adjust to pH 5.9)	0.4%
Alcohol	46.28%
Propylene glycol	6%
Diethylene glycol monoethyl ether	5%
Disodium EDTA	0.06%
Ion Exchange Purified Water q. ad.	100%

Table 5

Testosterone	1%
Carbomer 980	1.2%
Triethanolamine (adjust to pH 5.9)	0.4%
Ethanol	47.5%
Propylene glycol	6%
Diethylene glycol monoethyl ether	5%
Disodium EDTA	0.06%
Ion Exchange Purified Water q. ad.	100%

5           The formulation of the present invention is advantageous at least for the following reasons. First, the formulations of the present invention are substantially free of long-chain fatty alcohols, long-chain fatty acids, and long-chain fatty esters. Surprisingly, the formulations exhibit skin penetration sufficient to deliver an effective dosage of the desired active agent(s) to the user. This is an unexpected advantage that those of ordinary skill in the art would not have readily discovered since it had been generally understood that long-chain fatty alcohols, long-chain fatty acids, and long chain fatty esters would be required to enhance skin penetration to permit an effective dose of an active agent to penetrate the skin.

10           Second, because the formulation does not include aliphatic acid groups, such as fatty acids, that are commonly included in topical gels, it does not have the odor or oily texture which is associated with that ingredient as in presently-available gels. Third, the substantial absence of long-chain fatty alcohols, long-chain fatty acids, and long-chain fatty esters means

that the irritation potential is lower and that there is less chance for the components to interact, reducing the need for antioxidants or preservatives in the formulation. See, Tanojo H. Boelsma E, Junginger HE, Ponc M, Bodde HE, "*In vivo* human skin barrier modulation by topical application of fatty acids," Skin Pharmacol Appl. Skin Physiol. 1998 Mar- Apr; 11 (2) 87-97. It is to be understood, however, that if such preservatives are desired, the invention encompasses formulations which include antioxidants or preservatives. The reduction in the number of ingredients is advantageous at least in reducing manufacturing costs, possible skin irritation. Numerous studies acknowledge the irritation causing potential of unsaturated fatty acids such as oleic acid. Additionally, the reduced number of ingredients increases the storage stability of the formulation by decreasing the chance that the ingredients will interact prior to being delivered. This does not, however, imply that additional ingredients cannot be included in the formulation for particular aesthetic and/or functional effects. For example, the formulation may optionally include one or more moisturizers for hydrating the skin or emollients for softening and smoothing the skin. Glycerin is an example of such a suitable moisturizing additive.

The formulation may be applied once daily, or multiple times per day depending upon the condition of the patient. The formulation of the invention may be applied topically to any body part, such as the thigh, abdomen, shoulder, and upper arm. In one embodiment, a formulation in the form of a gel is applied to about a 5 inch by 5 inch area of skin. Application may be to alternate areas of the body as applications alternate. For example, the gel may be applied to the thigh for the first application, the upper arm for the second application, and back to the thigh for the third application. This may be advantageous in alleviating any sensitivity of the skin to repeated exposure to components of the formulation.

The invention includes the use of the formulations described above to treat subjects to increase circulating levels of active agents within the patient.

Preferred dosage units are capable of delivering an effective amount of the selected active agent over a period of about 24 hours. By an "effective" or "therapeutically effective" amount of an active agent is meant a nontoxic, but sufficient amount of the agent to provide the desired effect.

However, it will be appreciated by those skilled in the art that the desired dose will depend on the specific active agent as well as on other factors; the minimum effective dose of each active agent is of course preferred to minimize the side effects associated treatment with

the selected active agent(s). The formulation is preferably applied on a regularly timed basis so that administration of the active agents is substantially continuous.

### EXAMPLES

5           The following examples are illustrative and should not be interpreted as limiting.

EXAMPLE 1. One embodiment of the formulation according to the invention is a topical gel having Testosterone 1.25% w/w, propylene glycol 5.95% w/w, Ethyl alcohol 45.46% w/w, Distilled water 45.67% w/w, Carbomer (Carbopol 980 NF) 1.21% w/w, Triethanolamine 0.39% w/w, Disodium EDTA 0.06% w/w.

10           EXAMPLE 2. One embodiment of the formulation according to the invention is a gel composed by testosterone 1.00 % w/w, diethylene glycol monoethyl ether 5.00 % w/w, propylene glycol 6.00 % w/w, ethanol 47.52 % w/w, purified water 38.87 % w/w, carbomer (CARBOPOL™ 980 NF) 1.20 % w/w, triethanolamine 0.35 % w/w, disodium EDTA 0.06% w/w.

15           EXAMPLE 3. One embodiment of a formulation according to the invention is a topical hydroalcoholic gel formulation with 1% testosterone as the active ingredient. The formulation has been studied in one Phase I/II multiple dose, dose escalating clinical study in women. The study was conducted to determine the effectiveness of the formulation for the treatment of hypoactive sexual desire disorder ("HSDD"), in subjects including surgically  
20           menopausal women with low testosterone levels.

This study showed that the testosterone gel dosing between about 0.22 g to about 0.88 g formulation (2.2 to 8.8 mg/day testosterone) daily for 7 days resulted in average total and free testosterone serum concentrations within the normal range or somewhat above the normal range for pre-menopausal women.

25           EXAMPLE 4. *In vitro* studies were conducted to determine the permeability profile of testosterone in human surgically excised skin using the testosterone formulation of Table 5 above (containing no lauryl alcohol, "1%T+ 0%LA"), as compared with other testosterone formulations containing 1% and 2% lauryl alcohol ("1%T+ 1% or 2% LA"). The results of these studies are presented below in Tables 6, 7 and 8.

30           In the first study pieces of excised human skin were mounted in Franz Vertical Diffusion Cells (Hansen Research Inc.). Approximately 10 mg of testosterone/cm<sup>2</sup> (1%T + 0,



1 or 2%LA), were loaded in the loading chamber over the skin, which was maintained at 35°C. Sampling of the receptor solution was performed at selected intervals after loading. The testosterone flux and cumulative amount in the permeability study are shown below in Table 6.

5

Table 6

Time (h)	Flux ( $\mu\text{g}/\text{h}\cdot\text{cm}^2$ )			Cum. ( $\mu\text{g}/\text{cm}^2$ )	Amt. (SD)	
	0% LA	1% LA	2% LA		0% LA	1% LA
3	0.043	0.159	0.101	0.129	0.478	0.303
6	0.093	0.468	0.307	0.410	1.884	1.225
9	0.062	0.329	0.172	0.595	2.871	1.740
12	0.051	0.165	0.121	0.748	3.368	2.104
18	0.027	0.049	0.047	0.911	3.664	2.388
24	0.026	0.036	0.052	1.070	3.883	2.699

The testosterone flux and cumulative amount for the gel comprising approximately 1.25% testosterone, 5.00% Transcutol, 5.95% propylene glycol, 43.09% ethyl alcohol, 43.07% distilled water, 1.20% Carbopol 980NF, 0.38% triethanolamine, 0.059% EDTA are represented below in Tables 7 and 8.

Table 7

Testosterone <i>In vitro</i> flux ( $\mu\text{g}/\text{h}\cdot\text{cm}^2$ )* Mean +/-S.D.	
Example 1 described above	1.12 +/- 0.36

\*(Slope of cumulative amount of permeated drug vs. time between 12 and 24 h)

15

Table 8

Testosterone Cumulative Amount ( $\mu\text{g}/\text{cm}^2$ ) Mean +/-S.D.	
Time (h)	Example 1 described above
0	0
6	10.25 +/- 4.97
12	20.40 +/- 6.75
18	27.84 +/- 8.70
24	33.80 +/- 10.45

FIG. 1 is a graph depicting drug flux over time for testosterone in formulations including various amounts of lauryl alcohol (LA) in an *in vitro* model using human excised skin and 10 mg testosterone/cm<sup>2</sup> in the loading chamber (n=3-4 $\pm$ SD). The profile of 1%T + 0%LA is different than the formulations containing lauryl alcohol. The profile is about 4 times lower at 6 hours than the 2%LA formulation, but overall more consistent. All profiles showed a decrease in testosterone flux after 6 hours of permeation, possibly due to drug depletion.

Another permeation study was conducted using the method described above, except that approximately 50 mg of testosterone/cm<sup>2</sup> were loaded in the loading chamber over the skin. Sampling of the receptor solution was performed at selected intervals hours after loading. The testosterone flux and cumulative amount in the permeability study are shown below.

Table 9

Time (h)	Flux $\mu\text{g}/(\text{h}\cdot\text{cm}^2)$			Cum. $\mu\text{g}/\text{cm}^2$ Amt. (SD)		
	0% LA	1% LA	2% LA	0% LA	1% LA	2% LA
3.0	0.448	0.872	0.900	1.345	2.617	2.700
6.0	0.521	1.216	1.336	2.908	5.732	6.709
9.0	0.504	0.914	0.801	4.421	8.473	9.112

FIG. 2 is a graph depicting drug flux over time for testosterone in formulations including various amounts of lauryl alcohol (LA) in an *in vitro* model using human excised skin and 50 mg testosterone/cm<sup>2</sup> in the loading chamber (n=3-4±SD).

This study shows that the 1%T+0%LA has a lower permeation rate. However, the permeation profile was less variable making it potentially more desirable for use in women since testosterone levels must be titrated within a narrow range. Thus, these *in vitro* studies would lead one of ordinary skill in the art to believe that the inclusion of lauryl alcohol in the formulation is required in the formulation in order to achieve suitable circulating levels of hormones. However, Applicants have unexpectedly found that the inclusion of lauryl alcohol is not required in topical formulations to achieve an effective dose of circulating active agent penetration. This is especially true for Female Sexual Dysfunction where required testosterone plasmatic levels are lower than testosterone therapeutic plasmatic levels observed to treat hypogonadism.

EXAMPLE 5. Experience with gel formulations and transdermal patches generally show low rates of mild dermal toxicity with the gels and extensive skin reactions with the patches, probably related to the adhesive used or the occlusive nature of the patch. For instance, with a topical gel formulation of testosterone, a few patients had skin reactions, none of which required treatment or discontinuation of drug. In contrast, transient mild to moderate erythema was observed in the majority of patients treated with a transdermal patch, and some patients had more severe reactions including blistering, necrosis, and ulceration. See for example, Gelas B, Thébault J, Roux I, Herbrecht F, Zartarian M., "Comparative study of the acceptability of a new estradiol Tx 11323 (A) gel and a transdermal matrix system," *Contraception, fertilité, sexualité* 1997 Jun; 25 (6):470-474).

EXAMPLE 6. The objective of this study was to evaluate the safety and pharmacokinetic profiles of multiple doses of a 1%T + 0%LA hydroalcoholic gel, in postmenopausal women. During the first 7 days of the study, the subjects received daily topical applications of 0.22 g of a formulation including 1%T + 0%LA (2.2 mg/day testosterone). On Days 8-14, the subjects received 0.44 g of a formulation including 1%T + 0%LA (4.4 mg/day testosterone), and on Days 15-21, the subjects received 0.88 g of a formulation including 1%T + 0%LA (8.8 mg/day testosterone). There was no washout period, prior to each dose escalation. The pharmacokinetic results for total, free and bioavailable testosterone are shown below.

Table 10. Total Testosterone

Parameter	Day 1	Day 7	Day 14	Day 31
Daily Dose	2.2 mg	2.2 mg	4.4 mg	8.8 mg
N	7	7	7	7
C <sub>o</sub> (ng/dL)	21.00 (6.0)	42.43 (14.8)	68.71 (35.6)	87.00 (41.6)
C <sub>avg</sub> (ng/dL)	38.49 (17.0)	56.03 (24.5)	91.99 (51.2)	141.49 (72.0)
C <sub>max</sub> (ng/dL)	69.86 (33.0)	113.57 (92.9)	165.57 (113.8)	203.86 (128.3)
C <sub>min</sub> (ng/dL)	19.00 (6.2)	31.14 (15.6)	43.14 (20.6)	77.57 (27.9)
T <sub>max</sub> <sup>*</sup> (hr)	20 (20–24)	16 (1–24)	16 (1–24)	20 (3–24)
T <sub>min</sub> <sup>*</sup> (hr)	1 (0–6)	6 (0–20)	6 (0–12)	0(0–12)
AUC (ng•hr/dL)	923.79 (408.3)	1344.71 (588.5)	2207.79 (1228.1)	3395.64 (1728.8)
AR (ratio)	--	1.59 (0.7)	2.32 (0.5)	3.59 (0.6)
Free Testosterone				
Parameter	Day 1	Day 7	Day 14	Day 21
Daily Dose	2.2 mg	2.2 mg	4.4 mg	8.8 mg
N	7	7	7	7
C <sub>o</sub> (pg/mL)	2.64 (1.0)	5.24 (1.8)	7.87 (3.2)	10.80 (7.4)
C <sub>avg</sub> (pg/mL)	4.81 (1.8)	6.96 (1.9)	11.13 (5.4)	16.69 (7.3)
C <sub>max</sub> (pg/mL)	8.84 (3.6)	15.79 (14.3)	21.31 (19.5)	25.80 (16.0)
C <sub>min</sub> (pg/mL)	2.26 (0.9)	3.67 (1.3)	5.53 (2.2)	9.23 (4.9)
T <sub>max</sub> <sup>*</sup> (hr)	20 (20–24)	20 (3–24)	16 (1–24)	20 (3–24)
T <sub>min</sub> <sup>*</sup> (hr)	1 (0–12)	9 (0–20)	9 (0–12)	0(0–6)
AUC (pg hr/mL)	--	1.57 (0.6)	2.28 (0.5)	3.43 (0.8)
Bioavailable Testosterone				
Parameter	Day 1	Day 7	Day 14	Day 21
Daily Dose	2.2 mg	2.2 mg	4.4 mg	8.8 mg
N	7	7	7	7
C <sub>o</sub> (ng/dL)	4.01 (2.1)	7.94 (3.7)	12.56 (5.8)	16.27 (12.1)
C <sub>avg</sub> (ng/dL)	7.48 (3.4)	10.81 (3.6)	16.47 (8.1)	25.04 (11.5)
C <sub>max</sub> (ng/dL)	13.33 (6.7)	25.57 (28.5)	32.14 (29.4)	39.13 (27.1)
C <sub>min</sub> (ng/dL)	3.69 (1.7)	5.84 (2.7)	8.43 (3.6)	13.84 (7.7)
T <sub>max</sub> <sup>*</sup> (hr)	20 (20 - 24)	16 (1 - 24)	16 (9 - 24)	20 (3 - 24)
AUC (ng hr/dL)	179.4 (81.4)	259.52 (87.1)	395.23 (195.0)	600.94 (276.2)
AR (ratio)	--	1.59 (0.7)	2.26 (0.7)	3.48 (1.2)

FIGS. 3A-C are graphs depicting median total, free and bioavailable testosterone serum concentrations following administration of 1%T+0%LA *in vivo* over a sampling period on days 1, 7, 14, and 21, respectively.

The average baseline total testosterone and free testosterone concentrations were 21.0 ng/dL and 2.6 pg/mL, respectively. After one week of 0.22 g daily doses of 1%T + 0%LA, the average total testosterone and free testosterone concentrations were 56.0 ng/dL and 7.0 pg/mL, respectively. One week of daily 0.44 g doses of 1%T + 0%LA increased the average total testosterone and free testosterone concentrations to 92.0 ng/dL and 11.1 pg/mL, respectively. Daily doses of 0.88 g 1%T + 0%LA for 7 days increased the average testosterone and free testosterone concentrations to 141.5 ng/dL and 16.7 pg/mL in the 7 subjects.

FIGS. 3D-F are graphs depicting mean bioavailable and free testosterone serum concentrations after different dose regimens and treatments with 1%T + 2%LA *in vivo* over a sampling period on days 1, 7, 14, respectively. When like testosterone dosages are compared, this data shows that *in vivo* testosterone levels are not substantially changed by the inclusion of lauryl alcohol. Therefore, contrary to the *in vitro* findings, lauryl alcohol was not necessary to achieve effective serum levels *in vivo*.

This study demonstrated that 1%T 0% LA has the potential to elevate free testosterone concentrations in women with low endogenous testosterone production. The 0.22 g dose, corresponding to 2.2 mg testosterone, resulted in average free testosterone concentrations towards the upper limit of normal. For the 0.44 g dose, average free testosterone concentrations were 1.6 times the upper limit of normal while average free testosterone concentrations for the 0.88 g dose were approximately 2.4 times the upper limit of normal.

Further, the 1%T + 0%LA formulation has been administered in daily testosterone doses of 2.2, 4.4, and 8.8 mg (doses of 0.22 g/day, 0.44 g/day, and 0.88 g/day, each applied for 7 days, respectively) in one Phase I/II study. The formulation was well tolerated in this study. No serious or significant adverse events were reported. No significant changes in clinical laboratory variables, vital signs, ECG parameters or physical findings were detected in any of the treatment groups.

EXAMPLE 7. The primary objectives of this study were to evaluate the safety, tolerability, and pharmacokinetic profile of two different, multiple topical doses of an

estradiol gel including in terms of the PK variables AUC and  $C_{\max}$  with and without corrections for endogenous estradiol concentrations in postmenopausal female subjects. Each subject received one of two estradiol treatments for 14 consecutive days; either 1.25 g estradiol gel 0.06% (0.75 mg estradiol/day) or 2.5 g estradiol gel 0.06% (1.5 mg estradiol/day).

Multiple doses of 0.75 mg E2/day maintained average concentrations ( $=AUC\tau/24$ ) of 2.4 ng/dl (24 pg/ml). The double dose of 1.5 mg E2/day resulted in an average concentration of 5.3 ng/dl (53 pg/ml). The values correspond very well to those observed after transdermal patches such as Estraderm®. When using a patch with a nominal delivery rate of 25 µg/day, an average maintenance concentration of 23 pg/ml has been reported. For patches with a delivery rates of 50 µg/day or 100 µg/day, average concentrations of 40 pg/ml and 75 pg/ml have been reported, respectively. Estraderm® has been registered in the European Community and in the United States as being efficacious for postmenopausal disorders including reduction in hot flashes, and for osteoporosis prophylaxis. Therefore, it is predicted that the E2 gel formulation will be safe and effective for treatment of menopausal symptoms including reduction of hot flashes, and for osteoporosis prophylaxis.

Estradiol Concentration Time Data (0-24 hours) Following a Single Dose (Day 1). FIG. 4A is a graph depicting mean serum concentrations of estradiol (E2) following single dose administration of E2 + 0%LA gel (a=0.75 mg E2; b=1.50 mg E2). Following administration of the lower dose (treatment a), the concentration-time profile demonstrates that an increase in E2 concentrations was observed. On average, E2 concentrations increased from a baseline value of 0.4 ng/dl E2 at 0 H to 2.1 ng/dl E2 at 24 H. Following application of the higher dose, (treatment b) an increase from 0.5 ng/dl E2 at baseline at 0 H to 3.0 ng/dl E2 at 24 H was observed.

Estradiol Trough Concentration Data (Days 1-20). FIG. 4B is a graph depicting mean trough concentrations of E2 over time following repeated administration of E2 + 0%LA gel. On average, the trough concentrations increased until approximately 24 H after application (Day 2, predose). Thereafter a plateau in concentrations was observed and levels fluctuated between 2.1 ng/dl at 24 H and 2.4 ng/dl E2 on the day after the last dose was applied (336 H = Day 15, 0 H). Within this sampling interval, the trough concentrations were variable and fluctuated between a minimum of 1.3 ng/dl E2 observed at 48 H (Day 3 predose) to a maximum of 2.4 ng/dl at 336 H (Day 15, 0 H). Following the last administration,

average E2 concentrations declined to 0.8 ng/dl and were near predose baseline levels (0.6 ng/dl) at 456 H (Day 20, 0 H; 5 days after discontinuation of drug application).

FIG. 4D is a graph depicting individual trough concentrations of E2 over time following repeated administration of E2 + 0%LA gel at both doses. On average, E2 concentrations continued to increase until approximately 240 H (Day 11 predose). Concentrations increased from 0.5 ng/dl at baseline (0 H) to 8.7 ng/dl at 240 H.

The median trough values were also examined and these reached a plateau of approximately 5.1 ng/dl E2 at 96 H (Day 5 predose) after application. Thereafter, the trough concentrations were variable and fluctuated between a minimum of 4.2 ng/dl E2 (median at 288 H, Day 13 predose) to a maximum of 5.3 ng/dl at 336 H (Day 15, 0 H). Following the last administration, average E2 concentrations declined to 0.8 ng/dl and were near predose baseline levels (0.5 ng/dl) at 456 H (Day 20, 0 H; 5 days after discontinuation of drug application). Examination of median trough concentrations indicate that steady state E2 concentrations are reached by 4 and 5 days for the E2 gel 1.25 g and 2.5 g doses, respectively.

Estradiol Concentration Time Data (0-24 hours) Following 14 Doses (Day 14). FIG. 4E is a graph depicting mean serum concentrations of E2 following multiple dose administration of E2 + 0%LA gel. The profiles on Day 14 demonstrate that steady state E2 concentrations were reached by Day 14 (312 H). The mean E2 concentrations at the beginning of this interval (treatment a: 2.0 ng/dl E2, treatment b: 5.0 ng/dl E2) and at the end of this sampling interval (treatment a: 2.4 ng/dl E2, treatment b: 5.5 ng/dl E2) were comparable. Average maximum E2 concentrations were 3.7 ng/dl and 8.8 ng/dl, respectively (Day 14 data).

Estradiol Pharmacokinetic Parameters on Day 1 and Day 14. The pharmacokinetic parameters for E2 following single and multiple applications of Bio-E-Gel at 1.25 g and 2.5 g are presented in Table 10a. A descriptive summary of the pharmacokinetic parameters, uncorrected and baseline-adjusted, are presented in Table 10c and 10d, respectively.

Following a single application of 1.25 g of E2 gel, maximum concentrations ( $C_{\max}$ ) on Day 1 were 2.3 ng/dl. On average, the time to maximum concentrations,  $t_{\max}$ , was achieved by 17.67 H. The exposure to E2, as measured by  $AUC_{\tau}$  was 27.5 ng/dl\*H. Following multiple applications,  $C_{\max}$  concentrations increased to 3.7 ng/dl on Day 14. The  $t_{\max}$  estimates were approximately 16 H on Day 14 and were comparable to those observed on

Day 1. The exposure to E2 was 57.0 ng/dl\*H on Day 14 and was higher than that observed on Day 1, demonstrating the accumulation of E2 in the serum following repeated applications.

Following a single application of 2.5 g of E2 gel, maximum concentrations ( $C_{\max}$ ) on  
5 Day 1 were 3.7 ng/dl. On average, the time to maximum concentrations,  $t_{\max}$ , was achieved  
by 18 H. The exposure to E2, as measured by  $AUC_{\tau}$  was 49.7 ng/dl\*H. Following multiple  
application,  $C_{\max}$  concentrations increased to 8.8 ng/dl on Day 14. The  $t_{\max}$  estimates were  
approximately 18 H on Day 14 and were comparable to those observed on Day 1. The  
exposure to E2 was 128.2 ng/dl\*H on Day 14 and was higher than that observed on Day 1,  
10 demonstrating the accumulation of E2 in the serum following repeated applications.

The ratio of geometric means of E2 gel 2.5 g/1.25 g was used to assess the dose  
proportionality of E2 following the two doses of E2 gel. After single dose application, the  
mean AUC ratio (E2 gel 2.5 g/1.25 g) was  $38.4/19.2=2.0$  and after multiple doses it was  
 $117.6/51.9=2.3$ , indicating dose proportionality.



Table 10a: E2 – PK Variables by Dose Regimens

Variable	Statistic	1.25 g Bio-E-Gel, Single Dose	1.25 g Bio-E-Gel, Multiple Dose	2.5 g Bio-E-Gel, Single Dose	2.5 g Bio-E-Gel, Multiple Dose
AUC <sub>τ</sub> [ng/dl*H]	N	6	6	6	6
	Mean	27.5	57.0	49.7	128.2
	SD	17.2	29.9	48.1	50.0
	GeoM	19.2	51.9	38.4	117.6
	G_CV	173.5	48.0	79.0	53.1
C <sub>max</sub> [ng/dl]	N	6	6	6	6
	Mean	2.3	3.7	3.7	8.8
	SD	1.8	2.3	2.7	4.8
	GeoM	1.7	3.2	3.1	7.6
	G_CV	110.1	54.2	75.9	67.0
t <sub>max</sub> [H]	N	6	6	6	6
	Mean	17.67	327.83	18.00	330.33
	SD	8.62	9.85	4.90	8.62
	Min	2.00	313.00	12.00	314.00
	Med	20.00	332.00	16.00	334.00
	Max	24.00	336.00	24.00	336.00
Baseline, C <sub>0</sub> [ng/dl]	N	6	6	6	6
	Mean	0.5	0.5	0.4	0.4
	SD	0.4	0.4	0.3	0.3
	Min	0.0	0.0	0.0	0.0
	Med	0.5	0.5	0.4	0.4
	Max	1.3	1.3	0.8	0.8

## Baseline Adjusted Estradiol Pharmacokinetic Parameters on Day 1 and Day 14.

Baseline concentrations of E2 were similar for both groups and were calculated as 0.5 ng/dl and 0.4 ng/dl for the 1.25 g and 2.5 g E2 gel, respectively. In order to correct for endogenous E2 concentrations, the baseline E2 concentration (E2 gel 1.25 g: 0.5 ng/dl and 2.5 g: 0.4 ng/dl) was subtracted from the total concentration measured after application and the AUC<sub>τ</sub>

and  $C_{\max}$  were recalculated based on the baseline-adjusted concentration. The results of the baseline-adjusted pharmacokinetic variables are summarized in Table 10b. The baseline-adjusted  $C_{\max}$  estimates were 1.8 ng/dl and 3.4 ng/dl following single applications of the 1.25 g and 2.5 g E2 gel, respectively. For  $AUC_{\tau}$ , the baseline-adjusted values were 14.9 ng/dL\*H and 41.4 ng/dl\*H for the 1.25 g and 2.5 g E2 gel, respectively. Following repeated applications,  $C_{\max}$  estimates increased to 3.1 ng/dl and 8.4 ng/dl and  $AUC_{\tau}$  estimates increased to 44.2 ng/dl\*H and 119.6 ng/dl\*H for 1.25 g and 2.5 g E2 gel, respectively. These increases reflect the accumulation of drug in the serum following repeated application of the gel.

The terminal elimination half-life ( $t_{1/2}$ ) of E2 was calculated from the baseline-adjusted concentrations following the last dose (at 312 H, Day 14 predose) by log-linear regression from the linear portion of the logarithmic transformed concentration-time plot. The individual and mean estimates of half-life following the application of 1.25 g and 2.5 g E2 gel are presented in Table 10d. The median half-life was 22.15 H (range: 13.11-76.71) for E2 gel 1.25 g and 35.58 H (range: 26.60-51.59) for 2.5 g. The half-life estimates for both treatment groups were comparable.

Table 10b: E2 – PK Variables, Baseline Adjusted

Variable	Statistic	1.25 g, Single Dose	1.25 g, Multiple Dose	2.5 g, Single Dose	2.5 g, Multiple Dose
$\delta AUC_{\tau}$ [ng/dl*H]	N				
	Mean	14.9	44.2	41.4	119.6
	SD	13.3	22.2	51.1	51.2
	GeoM	9.8	39.7	25.2	108.9
	G CV	147.3	56.1	139.6	53.5
$\delta C_{\max}$ [ng/dl]	N	6	6	6	6
	Mean	1.8	3.1	3.4	8.4
	SD	1.8	2.0	2.9	4.7
	GeoM	1.2	2.7	2.4	7.3
	G CV	132.8	56.4	118.5	68.5
$t_{1/2}$ [H]	N		4		4
	Mean		33.53		37.34
	SD		29.16		12.41
	Min		13.11		26.60
	Med		22.15		35.58
	Max		76.71		51.59

Estrone Concentration Time Data (0-24 hours) Following a Single Dose (Day 1).

FIG. 4F is a graph depicting mean serum concentrations of estrone (E1) following single dose administration of E2 + 0%LA gel. On average, E1 concentrations increased from a baseline value of 2.4 ng/dl E1 at 0 H to 3.4 ng/dl E1 at 24 H. Following application of the higher dose, (treatment b) an increase from 2.4 ng/dl E1 at baseline (0 H) to 4.0 ng/dl E1 at 24 H was observed.

Estrone Trough Concentration Data (Days 1-20). FIG. 4G is a graph depicting mean trough concentrations of E1 following repeated administration of E2 + 0%LA gel. On average, the trough concentrations increased to approximately 72 H (Day 4 predose) after application. Thereafter a plateau in concentrations was observed and levels fluctuated between 4.3 ng/dl at 72 H and 5.2 ng/dl E1 on the day after the last dose was applied (336 H = Day 15, 0 H). Within this sampling interval, the trough concentrations were variable and fluctuated between a minimum of 4.1 ng/dl E1 observed at 96 H (Day 5 predose) to a maximum of 5.3 ng/dl at 288 H (Day 13 predose). Following the last administration, average E1 concentrations declined to 3.0 ng/dl and were near predose baseline levels (2.4 ng/dl) at 456 H (Day 20, 0 H; 5 days after discontinuation of drug application).

The mean E1 trough concentrations following repeated administration of Bio-E-Gel 2.5 g are also presented in FIG. 4G. On average, E1 concentrations continued to increase until approximately 240 H (Day 11 predose). Concentrations increased from 2.4 ng/dl at baseline (0 H) to 10.4 ng/dl at 240 H. Thereafter, the trough concentrations were variable and fluctuated between 9.1 ng/dl E1 (at 288 H = Day 13 predose) to 7.8 ng/dl at 336 H (Day 15, 0 H). Following the last administration, average E1 concentrations declined to 3.1 ng/dl and were near predose baseline levels (4.0 ng/dl) at 456 H (Day 20, 0 H; 5 days after discontinuation of drug application). Examination of mean trough concentrations indicate that steady state E1 concentrations are reached by 11 and 13 days for the Bio-E-Gel 2.5 g and 1.25 g doses, respectively.

Estrone Concentration Time Data (0-24 hours) Following 14 Doses (Day 14). FIG. 4H is a graph depicting mean serum concentrations of E1 following multiple dose administration of E2 + 0%LA gel. The profiles on Day 14 demonstrate that steady state E1 concentrations were reached by Day 14 (312 H). The E1 concentrations at the beginning of this interval (treatment a: 4.8 ng/dl, treatment b: 8.2 ng/dl) and at the end of this sampling interval (treatment a: 5.2 ng/dl, treatment b: 7.8 ng/dl) were comparable. Average maximum E1 concentrations on Day 14 (312 to 336 H) were 6.0 ng/dl and 9.2 ng/dl, respectively.

Estrone Pharmacokinetic Parameters on Day 1 and Day 14. Following a single application of 1.25 g of E2 gel, maximum concentrations ( $C_{\max}$ ) on Day 1 were 3.6 ng/dl. On average, the time to maximum concentrations,  $t_{\max}$ , was achieved by 12.67 H. The exposure to E1, as measured by  $AUC\tau$  was 56.2 ng/dl\*H. Following multiple applications,  $C_{\max}$  concentrations increased to 6.0 ng/dl on Day 14. The  $t_{\max}$  estimates were approximately 11 H on Day 14 and were comparable to those observed on Day 1. The exposure to E1 was 111.4 ng/dl\*H on Day 14 and was higher than that observed on Day 1, demonstrating the accumulation of E1 in the serum following repeated applications.

Following a single application of 2.5 g of E2 gel, maximum concentrations ( $C_{\max}$ ) on Day 1 were 4.1 ng/dl. On average, the time to maximum concentrations,  $t_{\max}$ , was achieved by 21 H. The exposure to E1, as measured by  $AUC\tau$  was 62.2 ng/dl\*H. Following multiple application,  $C_{\max}$  concentrations increased to 9.2 ng/dl on Day 14. The  $t_{\max}$  estimates were approximately 2 H on Day 14 and were lower than those observed on Day 1. The exposure to E1 was 179.7 ng/dl\*H on Day 14 and was higher than that observed on Day 1, demonstrating the accumulation of E1 in the serum following repeated applications.

Table 10c: E1 – PK Variables by Dose Regimens					
Variable	Statistic	1.25 g Single Dose	1.25 g Multiple Dose	2.5 g Single Dose	2.5 g Multiple Dose
AUC <sub>τ</sub> [ng/dl*H]	N	6	6	6	6
	Mean	56.2	111.4	62.2	179.7
	SD	31.2	54.2	30.0	67.6
	GeoM	49.6	100.8	56.2	167.1
	G_CV	59.2	51.9	53.2	46.3
C <sub>max</sub> [ng/dl]	N	6	6	6	6
	Mean	3.6	6.0	4.1	9.2
	SD	1.6	2.7	0.6	3.1
	GeoM	3.2	5.6	4.0	8.7
	G_CV	56.3	45.0	13.2	40.5
t <sub>max</sub> [H]	N	6	6	6	6
	Mean	12.67	323.33	21.01	314.33
	SD	12.42	9.93	7.33	1.51
	Min	1.00	312.00	6.05	312.00
	Med	13.00	322.00	24.00	314.00
	Max	24.00	336.00	24.00	316.00
Baseline, C <sub>0</sub> [ng/dl]	N	6	6	6	6
	Mean	1.8	1.8	2.0	2.0
	SD	1.4	1.4	0.9	0.9
	Min	0.5	0.5	1.1	1.1
	Med	1.5	1.5	1.8	1.8
	Max	4.4	4.4	3.2	3.2

Baseline Adjusted Estrone Pharmacokinetic Parameters on Day 1 and Day 14.

Baseline concentrations of E1 were similar for both groups and were calculated as 1.8 ng/dl and 2.0 ng/dl for the 1.25 g and 2.5 g E2 gel, respectively. In order to correct for endogenous  
5 E1 concentrations, the baseline E1 concentration (E2 gel 1.25 g: 1.8 ng/dl and E2 gel 2.5 g: 2.0 ng/dl) was subtracted from the total concentration measured after application and the

AUC $\tau$  and C $_{\max}$  were recalculated based on the baseline-adjusted concentration. The results of the baseline-adjusted pharmacokinetic variables are summarized in Table 10d. The baseline-adjusted C $_{\max}$  estimates were 1.8 ng/dl and 2.0 ng/dl following single applications of the 1.25 g and 2.5 g E2 gel respectively. For AUC $\tau$ , the baseline-adjusted values were 14.5 ng/dL\*H and 17.9 ng/dl\*H for the 1.25 g and 2.5 g E2 gel, respectively. Following repeated applications, C $_{\max}$  estimates increased to 4.2 ng/dl and 7.2 ng/dl and AUC $\tau$  estimates increased to 67.1 ng/dl\*H and 131.2 ng/dl\*H for 1.25 g and 2.5 g E2 gel, respectively. These increases reflect the accumulation of drug in the serum following repeated application of the gel.

10

Variable	Statistic	1.25 g Single Dose	1.25 g Multiple Dose	2.5 g Single Dose	2.5 g Multiple Dose
$\delta$ AUC $\tau$ [ng/dl*H]	N	6	6	6	6
	Mean	14.5	67.1	17.9	131.2
	SD	5.6	27.1	6.0	68.4
	Med	14.6	63.9	16.2	139.6
	GeoM	13.6	63.0	17.2	113.8
	G_CV	42.1	39.9	31.3	68.0
$\delta$ C $_{\max}$ [ng/dl]	N	6	6	6	6
	Mean	1.8	4.2	2.0	7.2
	SD	0.8	1.7	0.5	3.2
	Med	1.7	3.6	2.0	8.0
	GeoM	1.6	4.0	2.0	6.4
	G_CV	47.2	34.7	30.6	57.5

Estrone-Sulfate Concentration Time Data (0-24 hours) Following a Single Dose (Day 1). FIG. 4I is a graph depicting mean serum concentrations of estrone-sulfate (E1-sulfate) following single dose administration of E2 + 0%LA gel (a=0.75 mg E2; b=1.50 mg E2). On average, E1-S concentrations increased from a baseline value of 45.8 ng/dl E1 at 0 H to 79.0 ng/dl E1-S at 24 H. Following application of the higher dose, (treatment b) an increase from 34.7 ng/dl E1-S at baseline at 0 H to 70.7 ng/dl E1-S at 24 H was observed.

Estrone-Sulfate Trough Concentration Data (Days 1-20). FIG. 4J is a graph depicting mean trough concentrations of E1-sulfate following multiple dose administration of E2 + 0%LA gel (a=0.75 mg E2; b=1.50 mg E2). On average, the trough concentrations continued to increase with repeated applications although the mean plot suggested a change in the rate of increase by approximately 192 H (Day 9 predose). E1-S serum concentrations fluctuated between 133.8 ng/dl at 192 H and 117.8 ng/dl E1-S on the day after the last dose was applied (336 H; Day 15, 0 H). Following the last administration, average E1-S concentrations declined to 77.0 ng/dl and were higher than predose baseline levels (45.8 ng/dl) at 456 H (Day 20, 0 H; 5 days after discontinuation of drug application).

On average, E1-S concentrations continued to increase until approximately 312 H (Day 14 predose) although a change in the rate of increase was evident at approximately 240 H (Day 11 predose). Concentrations increased from 34.7 ng/dl at baseline (0 H) to 193.5 ng/dl at 240 H. Thereafter, the trough concentrations were variable and fluctuated between 193.5 ng/dl E1 (at 240 H) to 155.7 ng/dl at 336 H (Day 15, 0 H). Following the last administration, average E1-S concentrations declined to 60.3 ng/dl and were higher than predose baseline levels (34.7 ng/dl) at 456 H (Day 20, 0 H; 5 days after discontinuation of drug application). Examination of mean trough concentrations indicate that steady state E1-sulfate concentrations are reached by 13 and 14 days for the E2 gel 1.25 g and 2.5 g doses, respectively.

Estrone-Sulfate Concentration Time Data (0-24 hours) Following 14 Doses (Day 14). FIG. 4K is a graph depicting mean serum concentrations of E1-sulfate following multiple dose administration of E2 + 0%LA gel. The profiles on Day 14 demonstrate that steady state E1-S concentrations were essentially reached by Day 14 (312 H). The mean E1-S concentrations at the beginning of this interval (treatment a: 130.7 ng/dl, treatment b: 200.3 ng/dl) and at the end of this sampling interval (treatment a: 117.8 ng/dl, treatment b: 155.7 ng/dl) were slightly different. However, the range of the values overlapped thereby suggesting the comparability of the results. Average maximum E1-S concentrations on Day 14 were 163.5 ng/dl E1-S for E2 gel 1.25 g and 253.8 ng/dl E1-S for E2 gel 2.5 g.

Estrone-Sulfate Pharmacokinetic Parameters on Day 1 and Day 14. The pharmacokinetic parameters for E1-S following single and multiple applications of E2 gel at 1.25 g and 2.5 g are presented in Table 10e. A descriptive summary of the pharmacokinetic parameters, uncorrected and baseline-adjusted, are presented in Table 10c and 10d, respectively.

Following a single application of 1.25 g of E2 gel, maximum concentrations ( $C_{\max}$ ) on Day 1 were 80.2 ng/dl. On average, the time to maximum concentrations,  $t_{\max}$ , was achieved by 20.67 H. The exposure to E1-S, as measured by  $AUC_{\tau}$  was 1359.2 ng/dl\*H. Following multiple applications,  $C_{\max}$  concentrations increased to 163.5 ng/dl on Day 14.

5 The  $t_{\max}$  estimates were approximately 5 H on Day 14 and were lower than those observed on Day 1. The exposure to E1-S was 2834.1 ng/dl\*H on Day 14 and was higher than that observed on Day 1, demonstrating the accumulation of E1-S in the serum following repeated applications.

Following a single application of 2.5 g of E2 gel, maximum concentrations ( $C_{\max}$ ) on Day 1 were 74.7 ng/dl. On average, the time to maximum concentrations,  $t_{\max}$ , was achieved by 20 H. The exposure to E1-S, as measured by  $AUC_{\tau}$  was 1207.4 ng/dl\*H. Following multiple applications,  $C_{\max}$  concentrations increased to 253.8 ng/dl on Day 14.

10 The  $t_{\max}$  estimates were approximately 3 H on Day 14 and were lower than those observed on Day 1. The exposure to E1-S was 4079.2 ng/dl\*H on Day 14 and was higher than that

15 observed on Day 1, demonstrating the accumulation of E1-S in the serum following repeated applications.



Table 10e: E1-Sulfate – PK Variables by Dose Regimens

Variable	Statistic	1.25 g Single Dose	1.25 g Multiple Dose	2.5 g Single Dose	2.5 g Multiple Dose
AUC <sub>τ</sub> [ng/dl*H]	N	6	6	6	6
	Mean	1359.2	2834.1	1207.4	4079.2
	SD	407.8	1219.0	243.6	1674.5
	GeoM	1302.6	2611.1	1184.3	3798.7
	G_CV	33.9	47.2	22.6	43.4
C <sub>max</sub> [ng/dl]	N	6	6	6	6
	Mean	80.2	163.5	74.7	253.8
	SD	30.5	75.5	12.1	124.2
	GeoM	75.2	148.2	73.8	231.3
	G_CV	41.5	52.6	17.0	49.0
t <sub>max</sub> [H]	N	6	6	6	6
	Mean	20.67	316.67	20.00	315.33
	SD	8.16	3.93	6.20	4.46
	Min	4.00	314.00	12.00	312.00
	Med	24.00	315.00	24.00	313.50
	Max	24.00	324.00	24.00	324.00
Baseline, C <sub>0</sub> [ng/dl]	N	6	6	6	6
	Mean	51.3	51.3	36.9	36.9
	SD	17.9	17.9	10.7	10.7
	Min	23.3	23.3	23.3	23.3
	Med	55.5	55.5	38.0	38.0
	Max	71.0	71.0	53.0	53.0

Baseline Adjusted Estrone-Sulfate Pharmacokinetic Parameters on Day 1 and Day 14. Baseline concentrations of E1-S were similar for both groups and were measured as 51.3 ng/dl and 36.9 ng/dl for the 1.25 g and 2.5 g E2 gel, respectively. In order to correct for endogenous E1-S concentrations, the baseline E1-S concentration (E2 gel 1.25 g: 51.3 ng/dl and Bio-E-Gel 2.5 g: 36.9 ng/dl ) was subtracted from the total concentration measured after application and the AUC<sub>τ</sub> and C<sub>max</sub> were recalculated based on the baseline-adjusted

concentration. The baseline-adjusted  $C_{max}$  estimates were 28.8 ng/dl and 37.7 ng/dl following single applications of the 1.25 g and 2.5 g E2 gel, respectively. For  $AUC_{\tau}$ , the baseline-adjusted values were 165.7 ng/dl\*H and 325.5 ng/dl\*H for the 1.25 g and 2.5 g E2 gel, respectively. Following repeated applications,  $C_{max}$  estimates increased to 112.2 ng/dl and 216.9 ng/dl and  $AUC_{\tau}$  estimates increased to 1602.1 ng/dl\*H and 3192.5 ng/dl\*H for 1.25 g and 2.5 g E2 gel, respectively. These increases reflect the accumulation of drug in the serum following repeated application of the gel.

Table 10f: E1-Sulfate – PK Variables, Baseline Adjusted

Variable	Statistic	1.25 g Single Dose	1.25 g Multiple Dose	2.5 g Single Dose	2.5 g Multiple Dose
$\delta AUC_{\tau}$ [ng/dl*H]	N	6	6	6	6
	Mean	165.7	1602.1	325.5	3192.5
	SD	63.7	878.6	267.1	1543.4
	GeoM	153.9	1403.2	256.1	2893.6
	G_CV	46.4	61.9	87.6	51.8
$\delta C_{max}$ [ng/dl]	N	6	6	6	6
	Mean	28.8	112.2	37.7	216.9
	SD	19.3	61.0	16.0	120.4
	GeoM	24.1	97.0	33.7	192.9
	G_CV	71.7	67.2	63.6	55.7

Sex Hormone Binding Globulin (SHBG). The SHBG concentrations in the subsequent table were determined in addition to the study protocol, specially in order enable the interpretation of the unexpected accumulation of E2 in Subject 04. The data are tabulated in Table 10g. Generally the mean SHBG concentrations increased with time, after E2 gel 1.25 g from mean 72.5 nmol/l at 0 H over 80.17 nmol/l to 84.00 nmol/l and after E2 gel 2.5 g from mean 72.5 nmol/l at 0 H over 77.83 nmol/l to 88.83 nmol/l. Subject 04 who received E2 gel 2.5 g showed a similar pattern. The pre-treatment SHBG-concentrations were 58 nmol/l and 53 nmol/l, respectively. 192 H (Day 9 predose) after the first application the SHBG concentration was 58 nmol/l and after 360 H (Day 16, 0 H) it was increase to 71

nmol/l. Subject 04 thus did not appear to differ from the other subjects and the SHBG concentration do not explain the excessive E2 concentrations in this subject.

Table 10g: SHBG [nMol/l]					
Treatment	Statistic	Scheduled time relative to first application			
		-16	-10	192	360
E2 gel, 1.25	N	6	6	6	6
	Mean	72.33	72.50	80.17	84.00
	SD	23.73	24.83	28.53	29.18
	GeoM	69.12	69.02	75.94	79.73
	G CV	34.09	35.54	37.59	36.85
E2 gel, 2.5 g	N	6	6	6	6
	Mean	74.00	72.50	77.83	88.83
	SD	29.64	30.34	32.17	38.97
	GeoM	68.91	67.20	72.20	81.17
	G CV	43.88	45.10	45.01	50.71

Pharmacokinetics Conclusions. The pharmacokinetic characteristics were calculated as surrogates for the evaluation of the efficacy. It could be shown that multiple doses of 0.75 mg and 1.5 mg E2 gel resulted in average serum concentrations of about 2.4 ng/dl E2 and 5.3 ng/dl E2, respectively. These values are of a magnitude which are obtained after transdermal patches with a delivery rate of 25 and 50 µg E2 per day and are approved for postmenopausal disorders, including reduction of hot flashes.

Safety Conclusions. Eight adverse events were observed; 7 of them were classified as (possibly) related to the study treatments: 3 and 4 events after the administration of 1.25 g and 2.5 g E2 gel, respectively. Both treatments regimens showed excellent skin tolerability. No severe, serious, or significant adverse events occurred. No drop outs were observed. There were no significant changes in vital signs, ECG, clinical laboratory variables or physical findings. The study medication was well tolerated. There were no relevant differences in safety profile of the two treatments investigated.

Conclusions. The mean and individual serum concentration-time profiles for E2, E1 and E1-S from 1.25 g and 2.5 g E2 gel showed that the two treatments provided drug concentrations that were above the measured baseline levels. The pharmacokinetics of the gel product demonstrate that upon repeated administration a plateau in drug levels is generally reached. In addition, once drug is discontinued, drug levels return to or are near baseline levels within 5 days. The pharmacokinetics of E2, E1 and E1-S suggested dose proportionality for the 1.25 and 2.5 g gel products. Mean parameter estimates in the 2.5 g

treatment group were approximately double the estimates in the 1.25 g treatment group on Days 1 and 14.

Estimates of  $t_{\max}$  were variable in both treatment groups. At steady-state on Day 14, some estimates of  $t_{\max}$  occurred at the beginning of the dosing interval. In these cases, it is possible that serum concentrations continued to rise immediately after a dose due to continued presence of drug from the previously administered dose. The time to maximum concentration following administration of both treatments occurred within 16-20 H after the first application.

The achievement of steady-state was assessed primarily by graphical methods. Mean trough concentrations for E2 in both treatment groups were highly variable but showed no significant increasing trend over the study period. The median trough concentration plots suggested that steady-state was reached for E2 by Day 5 in both treatment groups. Based upon the estimates of  $t_{1/2}$  for E2 obtained in this study (approximately 33 H), steady-state would be achieved after approximately 9 to 10 days of dosing, a finding which is consistent with the results of the graphical analysis. Thus, the pharmacokinetic measurements conducted on Day 14 of treatment should be representative of steady-state. Similar results were observed for E1 and E1-S although concentrations did appear to be more variable and to fluctuate more for these two analytes.

The pharmacokinetic characteristics were calculated as surrogates for the evaluation of the efficacy. It could be shown that multiple doses of 0.75 mg and 1.5 mg E2 gel resulted in average serum concentrations of about 2.4 ng/dl E2 and 5.3 ng/dl E2, respectively. These values are of a magnitude, which are obtained after transdermal patches with a delivery rate of 25 and 50  $\mu$ g E2 per day and are approved for postmenopausal disorders, including reduction of hot flashes and osteoporosis. Therefore, it is predicted that E2 gel will be proven safe and effective for treatment of menopausal symptoms including reduction of hot flashes and osteoporosis.

EXAMPLE 8. Study of the Safety and Efficacy of Topical E2 Gel Versus Placebo for Treatment of Vasomotor Symptoms in Postmenopausal Females. The objectives of this study were to evaluate the safety and efficacy, and determine the lowest effective dose of E2 gel, administered as a daily regimen, as compared to that of placebo gel in the treatment of vasomotor symptoms in postmenopausal women. Eligible subjects were equally randomized to one of four treatment arms: E2 gel 0.625 /day (0.375 mg estradiol), E2 gel 1.25 g/day (0.75 mg estradiol), E2 gel 2.5 g/day (1.5 mg estradiol) or matching placebo gel. Eligible subjects

were healthy postmenopausal women, with an estradiol level  $< 20$  pg/mL, who exhibited  $\geq 7$  moderate to severe hot flushes each day or  $\geq 60$  moderate to severe hot flushes total during 7 days of screening.

5 E2 gel consisted of 0.06% estradiol in a hydroalcoholic gel formulation supplied in single-dose sachets: E2 gel 0.625 g/day (0.375 mg/day E2), E2 gel 1.25 g/day (0.75 mg/day E2), or E2 gel 2.5 g/day (1.5 mg/day E2). Daily topical applications of E2 gel was administered by the subject on the thigh.

Parameters were evaluated including: hot flush occurrence rates and severity. Adverse events, safety laboratory tests, vitals signs, weight, physical examinations, breast  
10 examinations, skin irritation were assessed.

Results of the primary analyses of the co-primary efficacy endpoints indicate that the lowest effective dose of E2 gel in the treatment of vasomotor symptoms in postmenopausal women is E2 gel 2.5 g/day (1.5 mg/day E2). In the E2 gel 2.5 g/day treatment group, the difference from placebo of  $\tilde{2.7}$  in mean change from baseline in the  
15 moderate-to-severe hot flush rate at Week 4 was clinically meaningful (i.e.,  $\geq 2.0$ ), with a complimentary superiority to placebo in mean change from baseline in daily hot flush mean severity (placebo  $\tilde{-0.6}$ ; E2 gel 2.5 g/day,  $\tilde{-0.9}$ ). The analogous differences from placebo in daily hot flush rate for the other E2 gel dose groups were not clinically meaningful (E2 gel 0.625 g/day,  $\tilde{0.7}$ ; E2 gel 1.25 g/day, 0.0).

20

Table 11

## Daily Moderate-to-Severe Hot Flush Rates:

Mean Change from Baseline <sup>a</sup>

Evaluation	Mean Change from Baseline <sup>a</sup>			
	Placebo (N=42)	E2 Gel 0.625 g/day (N=41)	E2 Gel 1.25 g/day (N=39)	E2 Gel 2.5 g/day (N=38)
Baseline (Mean $\pm$ SD) <sup>b</sup>	16.0 $\pm$ 9.88	12.5 $\pm$ 5.60	12.3 $\pm$ 7.26	13.0 $\pm$ 5.97
Week -1 (Placebo Lead-In)	-5.3	-3.9	-4.7	-5.0
Week 1	-7.3	-5.8	-5.9	-7.5
Week 2	-7.9	-7.5	-7.2	-9.4
Week 3	-8.5	-8.5	-7.4	-10.5
Week 4	-8.5	-9.2	-8.5	-11.2

<sup>a</sup> For Week -1 through Week 4, means are least squares means derived from the ANCOVA model with factors for treatment, site, and treatment-by-site interaction, with baseline hot flush rate as the covariate.

<sup>b</sup> Unadjusted means and standard deviations. Baseline based on the first 7 days of the Screening Period.

As in the primary efficacy analyses, comparison of treatment groups with respect to the proportion of subjects with a  $\geq 90\%$  reduction in daily moderate-to-severe hot flush rate at Week 4 indicates effectiveness in the E2 gel 2.5 g/day group (55% of subjects), while the other E2 gel dose groups performed similar to placebo (27% to 35%). Furthermore, the median estradiol concentration at Week 4 for the E2 gel 2.5 g/day dose group (33 pg/mL) is in the low end of the expected therapeutic range, with the median concentrations falling below the range for the other E2 gel dose groups (E2 gel 0.625 g/day, 12 pg/mL; E2 gel 1.25 g/day, 23 pg/mL).

**Analyses of Efficacy.** The primary efficacy evaluation of the clinical effectiveness of E2 gel 0.625 g/day (0.375 mg E2), E2 gel 1.25 g/day (0.75 mg E2), and E2 gel 2.5 g/day (1.5 mg E2) as compared to placebo was determined with respect to change from baseline in daily (moderate-to-severe) hot flush rate at Week 4 and change from baseline in daily hot flush mean severity at Week 4 evaluated in the ITT LOCF Data Set. The baseline measures used in these analyses are based on data obtained during the Screening Period analyses with

baseline measures based on data obtained during the Placebo Lead In Period were not included.

The primary analysis of change from baseline in daily hot flush mean severity was based on unadjusted means from the one-way ANOVA model with treatment as the factor. However, in consideration of dissimilarity across treatment groups with respect to mean baseline daily hot flush rates, as well as an apparent treatment-by-site interaction, the primary analysis of change from baseline in daily hot flush rate was based on least-squares means derived from the ANCOVA model with factors for treatment, site, and treatment-by-site interaction, with baseline hot flush rate as the covariate. Only these primary analysis results are discussed.

As secondary efficacy analyses, the analyses of the 2 co-primary endpoints described above were performed on the Evaluable Subject LOCF Data Set. Additional analyses included the proportions of subjects who had a  $\geq 50\%$ ,  $\geq 60\%$ ,  $\geq 70\%$ ,  $\geq 80\%$ ,  $\geq 90\%$ ,  $\geq 95\%$  or  $100\%$  reduction from baseline in daily moderate-to-severe hot flush rate at Week 4, conducted for the ITT LOCF and the Evaluable Subject LOCF Data Sets. For the ITT Data Set, the results of these proportion analyses are presented in a text table.

Descriptive analyses of the 2 co-primary endpoints were performed for the ITT Observed-Case Data Set and the Evaluable Subject Observed-Case Data Set at Week 1, Week 2, Week 3, and Week 4. Since only 4 subjects discontinued treatment prematurely, the results of the observed-case analyses on these data sets are nearly identical to those from the LOCF analyses and are therefore not discussed explicitly in this report.

Mean Change from Baseline in Daily Moderate-to-Severe Hot Flush Rates. Intent-to-Treat Data Set – LOCF Analyses. In the LOCF analyses of the ITT Data Set, mean reductions from baseline in daily moderate-to-severe hot flush rates were observed for all four treatment groups, with a more pronounced reduction observed in the E2 gel 2.5 g/day dose group (see Table 11a and FIG. 5a).

A clinically significant difference (i.e.,  $\geq 2.0$ ) was observed between the E2 gel 2.5 g/day group and placebo in the mean reduction of daily hot flush rate at Week 4 (difference between groups =  $-2.7$ ), while the two lower doses of E2 gel did not show a clinically meaningful difference from placebo. Therefore, the two lower E2 gel doses are non-effective and the E2 gel 2.5 g/day dose is demonstrated to be the lowest effective dose for the treatment of moderate-to-severe hot flushes.

FIG. 5A is a graph depicting mean change from baseline in daily moderate-to-severe hot flush rate after estradiol at various doses (ITT-LOCF).

Table 11a Mean Change from Baseline in Daily Moderate-to-Severe Hot Flush Rate (ITT-LOCF)

Evaluation	Mean Change from Baseline			
	Placebo (N=42)	E2 Gel 0.625 g/day (N=41)	E2 Gel 1.25 g/day (N=39) <sup>b</sup>	E2 Gel 2.5 g/day (N=38) <sup>c</sup>
Baseline (Mean $\pm$ SD) <sup>d</sup>	16.0 $\pm$ 9.88	12.5 $\pm$ 5.60	12.3 $\pm$ 7.26	13.0 $\pm$ 5.97
Week -1 (Placebo Lead-In)	-5.3	-3.9	-4.7	-5.0
Week 1	-7.3	-5.8	-5.9	-7.5
Week 2	-7.9	-7.5	-7.2	-9.4
Week 3	-8.5	-8.5	-7.4	-10.5
Week 4	-8.5	-9.2	-8.5	-11.2

<sup>a</sup> For Week -1 through Week 4, means are least squares means derived from the ANCOVA model with factors for treatment, site, and treatment-by-site interaction, with baseline hot flush rate as the covariate.

<sup>b</sup> Though 40 subjects are in the ITT Bio-E-Gel 1.25 g/day treatment group, Subject 102 is not included in the hot flush analyses due to intractable baseline data.

<sup>c</sup> For the evaluation at Week 1, N=37 for the E2 gel 2.5 g/day treatment group since the hot flush diary for Subject 187 for that week was lost.

<sup>d</sup> Unadjusted means and standard deviations. Baseline based on the first 7 days of the Screening Period.

5            Evaluable Subject Dataset – LOCF Analyses. In the LOCF analyses of the  
Evaluable Subject Data Set, mean reductions from baseline in daily moderate-to-severe hot  
flush rates were observed for all 4 treatment groups, with a more pronounced reduction  
observed in the E2 gel 2.5 g/day dose group (see Table 11b and FIG. 5b). A clinically  
significant difference (i.e.,  $\geq 2.0$ ) was observed between the E2 gel 2.5 g/day dose group and  
10       placebo in the mean reduction of daily hot flush rate at Week 4 (difference between groups =  
-3.2), while the two lower doses of E2 gel did not show a clinically meaningful difference  
from placebo.



FIG. 5B is a graph depicting mean change from baseline in daily moderate-to-severe hot flush rate after estradiol at various doses (Evaluable-LOCF).

Table 11b Mean Change from Baseline in Daily Moderate-to-Severe Hot Flush Rate (Evaluable-LOCF)

Evaluation	Mean Change from Baseline <sup>a</sup>			
	Placebo (N=28)	E2 Gel 0.625 g/day (N=38)	E2 Gel 1.25 g/day (N=33)	E2 Gel 2.5 g/day (N=30)
Baseline (Mean $\pm$ SD) <sup>b</sup>	15.3 $\pm$ 9.35	12.3 $\pm$ 5.11	12.8 $\pm$ 7.73	13.4 $\pm$ 5.91
Week -1 (Placebo Lead-In)	-4.8	-3.7	-5.0	-4.8
Week 1	-6.7	-5.9	-6.0	-7.6
Week 2	-7.1	-7.6	-7.3	-9.4
Week 3	-7.9	-8.5	-7.6	-10.6
Week 4	-8.0	-9.1	-9.0	-11.2

<sup>a</sup> For Week -1 through Week 4, means are least squares means derived from the ANCOVA model with factors for treatment, site, and treatment-by-site interaction, with baseline hot flush rate as the covariate.

<sup>b</sup> Unadjusted means and standard deviations. Baseline based on the first 7 days of the Screening Period.

5 Proportion of Subjects with  $\geq$  90% or 100% Percent Reductions in Daily Moderate-to-Severe Hot Flush Rates at Week 4. Intent-to-Treat Data Set – LOCF Analyses. In the LOCF analyses of the ITT Data Set, the majority (55%, 21/38) of subjects in the E2 gel 2.5 g/day dose group experienced a  $\geq$  90% reduction in daily moderate-to-severe hot flush rate at Week 4, compared to approximately a third of subjects in placebo and the two lower E2 gel  
10 dose groups (see Table 11c). Twenty-four percent (24%) of subjects in the E2 gel 2.5 g/day dose group had a 100% reduction (i.e., no moderate-to-severe hot flushes) at Week 4.

Table 11c      Number and Proportion of Subjects with a  $\geq 50\%$  to a 100% Reduction in  
Daily Moderate-to-Severe Hot Flush Rates at Week 4 (ITT-LOCF)

Evaluation	Number (%) of Subjects			
	Placebo (N=42)	E2 Gel 0.625 g/day (N=41)	E2 Gel 1.25 g/day (N=39) <sup>a</sup>	E2 Gel 2.5 g/day (N=38)
$\geq 50\%$ Reduction	32 (76%)	31 (76%)	32 (82%)	33 (87%)
$\geq 60\%$ Reduction	29 (69%)	29 (71%)	28 (72%)	32 (84%)
$\geq 70\%$ Reduction	24 (57%)	21 (51%)	23 (59%)	29 (76%)
$\geq 80\%$ Reduction	19 (45%)	15 (37%)	17 (44%)	24 (63%)
$\geq 90\%$ Reduction	13 (31%)	11 (27%)	14 (36%)	21 (55%)
$\geq 95\%$ Reduction	8 (19%)	7 (17%)	12 (31%)	19 (50%)
100% Reduction	4 (10%)	4 (10%)	8 (21%)	9 (24%)

<sup>a</sup> Though 40 subjects are in the ITT E2 Gel 1.25 g/day treatment group, Subject 102 is not included in the hot flush analyses due to intractable baseline data.

Mean Change from Baseline Severity of Hot Flushes. Intent-to-Treat Data Set – LOCF Analyses. In the LOCF analyses of the ITT Data Set, mean reductions from baseline daily hot flush mean severity were observed for all four treatment groups, with a more pronounced reduction observed in the E2 gel 2.5 g/day dose group, and to a lesser degree, in the E2 gel 1.25 g/day dose group (see Table 11d and FIG. 5c). The decrease in daily hot flush mean severity over time in the E2 gel 2.5 g/day dose group is complimentary to the clinically meaningful difference from placebo seen at Week 4 in mean reduction of daily hot flush rate.

FIG. 5C is a graph depicting mean change from baseline in daily hot flush mean severity after estradiol at various doses (ITT-LOCF).

Table 11d Mean Change from Baseline in Daily Hot Flush Mean Severity (ITT-LOCF)

Evaluation	Mean Change from Baseline <sup>a</sup>			
	Placebo (N=42)	E2 Gel 0.625 g/day (N=41)	E2 Gel 1.25 g/day (N=39) <sup>b</sup>	E2 Gel 2.5 g/day (N=38) <sup>c</sup>
Baseline (Mean $\pm$ SD) <sup>d</sup>	2.3 $\pm$ 0.31	2.2 $\pm$ 0.30	2.3 $\pm$ 0.33	2.2 $\pm$ 0.33
Week -1 (Placebo Lead-In)	-0.2	-0.2	-0.2	-0.1
Week 1	-0.5	-0.2	-0.3	-0.3
Week 2	-0.6	-0.3	-0.5	-0.6
Week 3	-0.6	-0.4	-0.6	-0.7
Week 4	-0.6	-0.6	-0.8	-0.9

<sup>a</sup> Severity scale: 1 = mild, 2 = moderate, 3 = severe.

<sup>b</sup> Though 40 subjects are in the ITT Bio-E-Gel 1.25 g/day treatment group, Subject 102 is not included in the hot flush analyses due to intractable baseline data.

<sup>c</sup> For the evaluation at Week 1, N=37 for the Bio-E-Gel 2.5 g/day treatment group since the hot flush diary for Subject 187 for that week was lost.

<sup>d</sup> Unadjusted means and standard deviations. Baseline based on the first 7 days of the Screening Period.

Drug Dose, Drug Concentration, and Relationships to Response. Estradiol, Estrone, and Estrone Sulfate. Trough serum samples were obtained prior to dosing on Day 1 and upon study completion for determination of estradiol, estrone, and estrone sulfate concentrations.

5 For summarization, all assay results below the detection limit of 5 pg/mL were set equal to the limit (i.e., assigned a value of 5 pg/mL). Trough concentrations of estradiol, estrone, and estrone sulfate at Day 1 and Week 4 were highly variable within treatment groups (see Table 11e). In consideration of the variability and the moderate sample sizes, median values will be discussed.

10 Across all treatment groups, median values at Day 1 for estradiol (5 pg/mL), estrone (18.5 to 22.0 pg/mL), and the estradiol-to-estrone ratio (0.29 to 0.42) were consistent with a postmenopausal profile (see Table 11e). However, note that some subjects who met the inclusion criterion of <20 pg/mL estradiol at screening failed to meet this criterion at Day 1.

Apart from variability inherent to the assay, speculative reasons for this are instability of hormone levels for subjects with menopause onset within the prior year, hysterectomy without oophorectomy in subjects <50 years of age, or possible unreported noncompliance regarding use of an estrogen product during the Screening Period.

- 5           After therapy with E2 gel, median estradiol, estrone, and estrone sulfate concentrations at Week 4 showed separation between treatment groups in accord with E2 gel dose administration (see Table 11e). The median estradiol values at Week 4 were 12 pg/mL, 23 pg/mL, and 33 pg/mL, respectively, for the E2 gel 0.625 g/day, 1.25 g/day, and 2.5 g/day dose groups.

10

Table 11e Trough Estradiol, Estrone, and Estrone Sulfate at Day 1 and Week 4 (ITT)

Hormone	Evaluation	Placebo	E2 Gel 0.625 g/day	E2 Gel 1.25 g/day	E2 Gel 2.5 g/day
E2 (pg/mL)					
	Day 1	(N=41)	(N=41)	(N=39)	(N=38)
	Mean	12.2 ± 18.5	15.3 ± 24.5	10.3 ± 13.5	12.3 ± 20.3
	±SD	5	5	5	5
	Median	5-110	5-120	5-64	5-110
	Range				
	Week 4	(N=40)	(N=41)	(N=37)	(N=37)
	Mean	11.4 ± 15.4	24.1 ± 41.6	34.8 ± 33.0	46.8 ± 44.6
	±SD	5	12	23	33
	Median	5-85	5-240	5-170	5-250
	Range				
E1 (pg/mL)					
	Day 1	(N=41)	(N=41)	(N=39)	(N=38)
	Mean	22.3 ± 13.9	29.9 ± 28.1	24.3 ± 15.8	22.4 ± 13.9
	±SD	19.0	22.0	22.0	18.5
	Median	5-65	6-160	5-93	5-67
	Range				
	Week 4	(N=40)	(N=41)	(N=37)	(N=36)
	Mean	21.6 ± 15.3	36.3 ± 15.7	51.9 ± 29.1	72.3 ± 43.8
	±SD	19.5	35.0	44.0	60.5
	Median	5-82	5-78	13-130	17-200
	Range				

E2/E1					
Ratio					
Day 1	(N=41)	(N=41)	(N=39)	(N=38)	
Mean	0.55 ± 0.52	0.49 ± 0.36	0.43 ± 0.29	0.47 ± 0.33	
±SD	0.42	0.31	0.29	0.39	
Median	0.2 – 2.9	0.0 – 1.6	0.1 – 1.2	0.2 – 2.0	
Range					
Week 4	(N=40)	(N=41)	(N=37)	(N=36)	
Mean	0.59 ± 0.59	0.55 ± 0.56	0.67 ± 0.59	0.64 ± 0.33	
±SD	0.37	0.35	0.51	0.54	
Median	0.2 – 3.2	0.2 – 3.3	0.2 – 3.8	0.2 – 1.7	
Range					
E1-S					
(pg/mL)					
Day 1	(N=41)	(N=41)	(N=39)	(N=38)	
Mean	532.4 ±	691.0 ±	457.4 ±	523.2 ±	
±SD	350.2	815.5	193.9	443.5	
Median	410.0	480.0	430	430.0	
Range	150-2100	170-4760	190-940	180-2650	
Week 4	(N=40)	(N=40)	(N=38)	(N=36)	
Mean	573 ± 616.6	944.4 ±	1562 ±	2283 ±	
±SD	400.0	579.1	1610	1884	
Median	110-4020	740.0	995.0	1765	
Range		300-2870	280-8020	330-7040	

Safety Conclusions. Daily application of 0.625–2.5 g E2 gel (0.375–1.5 mg estradiol) for approximately 4 weeks was safe and well-tolerated in this population of postmenopausal females. The overall incidence of treatment-emergent adverse events among the E2 gel groups was not increased with dose level (approximately 50% in each dose group), and compared well to the incidence in the placebo group (40%). Adverse events associated with reproductive system and breast disorders were reported more frequently in the E2 gel groups (10%, 18%, and 13% in the 0.625 g/day, 1.25 g/day, and 2.5 g/day E2 gel groups,

respectively) versus placebo (5%), as would be expected in this class of drugs. These events reported in 2 or more E2 gel subjects included: breast tenderness, metrorrhagia (vaginal spotting), nipple pain, uterine spasm, and vaginal discharge. No relationship was apparent between the incidence of these events and E2 gel dose or estradiol level. No subjects  
5 discontinued the study due to these events.

Breast examination indicated no effect of E2 gel at final evaluation for all but one subject; the change observed for this subject (E2 gel 2.5 g/day) corresponded to one of the reported adverse events of mild breast tenderness, which resolved one week after final study drug administration.

10 No deaths or serious adverse events occurred during the study. Two (2) subjects (both E2 gel 1.25 g/day) discontinued double-blind treatment due to an adverse event, only one of which (dizziness) was considered possibly related; both subjects recovered.

No clinically meaningful effects of E2 gel on clinical laboratory results were observed in analyses of mean change from baseline to Week 4 evaluations. Comparisons of  
15 proportions of subjects with shifts from normal baselines to abnormal levels at Week 4 indicated a higher incidence of shifts to above normal cholesterol levels in E2 gel groups, and an apparent E2 gel dose-related increased incidence of shifts to above normal BUN levels; however, only about 10 subjects per group were included in the cholesterol comparison (since most subjects had above normal baseline cholesterol levels), and the BUN shifts were not  
20 associated with corresponding shifts in other renal function indicators or clinical manifestations of renal insufficiency.

No clinically important effects of E2 gel were observed for vital signs, body weight, physical examinations, or skin irritation assessment.

Conclusion. Transdermal ET delivers estradiol directly into the systemic circulation  
25 via the skin, thus avoiding the first-pass hepatic metabolism that occurs with oral ET and avoiding the effects on the hepatobiliary system seen with oral ET. No statistically significant or clinically meaningful changes noted in the mean change from baseline to Week 4 evaluation were observed for any liver function parameters. One subject in the E2 gel 0.625 g/day dose group experienced an increased AST that the investigator felt was clinically  
30 significant; also this subject had an elevated ALT (44 u/L) at baseline that increased to 70 u/L at final evaluation. No subjects were observed to have clinically significant increases in liver function tests in the E2 gel 1.25 g/day or E2 gel 2.5 g/day dose groups.

Adverse events associated with the topical application of the study gel were minimal and were more frequently reported in the E2 gel 1.25 g/day dose group. Dry skin at the application site was the most frequently reported event associated with the application of study drug, occurring in two subjects. These events were considered mild, with the onset  
5 greater than 2 weeks on study drug, and the events lasted no longer than 7 days. Other skin related events reported included burning or itching at the application site, occurring in one subject for each event. No treatment-emergent erythema at application site occurred.

Oral ET has been shown to produce an increase in the biliary cholesterol saturation index, and is associated with an increased risk of gallstones disease; however, this effect does  
10 not appear to be evident in transdermal ET. No subjects in the E2 gel dose groups were noted to have clinically significant changes in bilirubin levels, and no adverse events related to increased cholesterol, bilirubinemia, or gallstones were reported.

While it was initially thought that the use of transdermal ET would avoid the increases in serum lipids and lipoproteins seen with oral ET, studies have shown that changes  
15 in serum lipids and lipoproteins do occur, but with onset and progression that is slower than with oral ET. In this 4 week study, clinically meaningful mean changes were not observed in these parameters, though overall changes would not be expected in just a 4-week duration of treatment. One subject in the E2 gel 2.5 g/day dose group had a clinically significant change from baseline in triglycerides; however, the subject's final laboratory blood draw was non-  
20 fasting. Incidentally, this subject's baseline cholesterol was 287 mg/dL and LDL was 172 mg/dL.

The results of this study demonstrate that E2 gel administered daily in doses of 0.625–2.5 g/day for 4 weeks is safe and well-tolerated.

In accordance with the invention, the formulation may be provided in a kit including the  
25 formulations described above, as well as instructions for use of the same. The kit generally includes a container that retains the formulation and has a dispenser for releasing or applying a predetermined dosage or predetermined volume of the formulation upon demand. The dispenser can also automatically release the predetermined dosage or volume of the composition upon activation by the user.

30 The kit of the present may include the formulations in a pouch, tube, bottle, or any other appropriate container. The kit may include a single doses of the formulation packaged in individual sachets, such that each day a user opens and applies the amount of the



composition included in the sachet as the dosage of the active ingredient. The kit may also include multiple doses of the composition packaged in a container. During use, the subject may be instructed to dispense a given amount of the composition from the container for application to the skin (such as a “dime-sized amount”, or the like). Storage of the  
5 compositions according to this invention may be in aluminum tubes at about 25°C and 60% relative humidity as well as 40°C and 75% relative humidity at least for about 6 weeks.

The container may include a metered dispenser, such that a known volume or dosage of the formulation is dispensed by the user at each activation of the dispenser. In one example, the formulation may be supplied in a metered dose pump bottle. The formulation  
10 provided may be in a concentration such that a certain weight or volume (such as 0.87g) may be dispensed from each depression on the pump, and multiple activations of the pump, such as three times, may dispense the desired dosage of the formulation for the application by the subject. In one example, the kit includes a gel formulation included within a container such as an Orion metered dose pump bottle. Although containers other than pump bottle type  
15 container may be used, e.g., stick, or roll-on containers, and the like. The particular embodiments of the invention having been described above are not limiting of the present invention, and those of skill in the art can readily determine that additional embodiments and features of the invention are within the scope of the appended claims and equivalents thereto.

While the specification describes particular embodiments of the present invention,  
20 those of ordinary skill can devise variations of the present invention without departing from the inventive concept. Thus, the invention described and claimed herein is not to be limited in scope by the specific embodiments disclosed herein, since these embodiments are intended as illustrations of several aspects of the invention. Any equivalent embodiments are intended to be within the scope of the invention. Indeed, various modifications of the invention in  
25 addition to those shown and described herein will become apparent to those skilled in the art from the foregoing description. Such modifications are also intended to fall within the scope of the appended claims.

THE CLAIMS

What is claimed is:

5           1.       A formulation for the transdermal or transmucosal administration of an active agent comprising:

          at least one active agent, provided that the active agent is not testosterone alone, and that when the active agent is an estrogen or progestin, a therapeutically effective amount of a progestin or estrogen, respectively, is not present in the formulation; and

10           a delivery vehicle comprising an alkanol, a polyalcohol and a permeation enhancer in an amount sufficient to provide permeation enhancement of the active agent through mammalian dermal or mucosal surfaces;

          wherein the formulation is substantially free of long-chain fatty alcohols, long-chain fatty acids and long-chain fatty esters to avoid undesirable odor and irritation during use of  
15       the formulation.

          2.       The formulation of claim 1, wherein the alkanol is present in an amount between about 5 to 80% by weight of the delivery vehicle, the polyalcohol is present in an amount between about 1% to 30% by weight of the delivery vehicle, and the permeation enhancer is present in an amount between about 0.2 to 30% by weight of the delivery vehicle  
20       so that the delivery vehicle facilitates absorption of the at least one active agent by the dermal or mucosal surfaces so that transfer or removal of the formulation from such surfaces is minimized.

          3.       The formulation of claim 2, wherein the alkanol is in combination with water to form a hydroalcoholic mixture, the hydroalcoholic mixture is present in an amount of  
25       between about 40 to about 98% by weight of the delivery vehicle, and the alkanol is present in an amount of between about 5% to 80% by weight of the mixture, and the water is present in an amount of between about 20% to 95% by weight of the mixture.

          4.       The formulation of claim 2, wherein the active agent is estradiol present in an amount between about 0.01% to 2% of the formulation; the alkanol is present in an amount  
30       between about 20 to 65% of the formulation; the polyalcohol is propylene glycol present in an amount between about 1% to 15% of the formulation; the permeation enhancer is diethylene glycol monoethyl ether present in an amount between about 1% to 15% of the formulation, and further wherein the formulation comprises a gelling agent present in an

amount of between 0.05% to about 4% of the formulation, a neutralizing agent present in an amount between about 0.05% and 1% of the formulation, and water present in an amount between about 20% to 65% of the formulation.

5. The formulation of claim 4, further comprising a sequestering agent.
6. The formulation of claim 2, wherein the polyalcohol and permeation enhancer are present in a weight ratio of 2:1 to 1:1.
7. The formulation of claim 1, wherein the alkanol is a C<sub>2</sub> to C<sub>4</sub> alcohol selected from the group consisting of ethanol, isopropanol, and n-propanol, the polyalcohol is polypropylene glycol, and the permeation enhancer is a tetra glycol furol or a monoalkyl ether of diethylene ether.
8. The formulation of claim 1, wherein the active agent is androgen, estrogen, progestin, or a combination thereof.
9. The formulation of claim 8, wherein the androgen is selected from the group consisting of : testosterone, 17-β-hydroxyandrostenedione, testosterone esters, methyl testosterone, testosterone lactone, oxymetholone, fluoxymesterone, androstenedione, androstenedione acetate, androstenedione propionate, androstenedione benzoate, androstenediol, androstenediol-3-acetate, androstenediol-17-acetate, androstenediol-3,17-diacetate, androstenediol-17-benzoate, androstenediol-3-acetate-17-benzoate, androstenedione, sodium dehydroepiandrosterone sulfate, 4-dihydrotestosterone, 5 α-dihydrotestosterone, dromostanolone, dromostanolone propionate, ethylestrenol, nandrolone phenpropionate, nandrolone decanoate, nandrolone furylpropionate, nandrolone cyclohexanepropionate, nandrolone benzoate, nandrolone cyclohexanecarboxylate, oxandrolone, and stanozolol or any combination thereof.
10. The formulation of claim 8, wherein the estrogen is selected from the group consisting of: 17 beta-estradiol, estradiol, estradiol benzoate, estradiol 17 beta-cypionate, estriol, estrone, ethynil estradiol, mestranol, moxestrol, mytatrienediol, polyestradiol phosphate, quinestradiol, and quinestrol or any combination thereof.
11. The formulation of claim 1, wherein the formulation further comprises at least one of a gelling agent, neutralizing agent; buffering agent, moisturizing agent, humectant, surfactant, antioxidant, emollient, or buffer.
12. The formulation of claim 1 wherein the formulation is in the form of a gel, lotion, cream, spray, aerosol, ointment, emulsion, suspension, liposomal system, lacquer, patch, bandage, or occlusive dressing.

13. A method for treating hormonal disorders in a subject, the method comprising administering to a subject in need of such treatment a formulation comprising an effective dosage of at least one active agent and a delivery vehicle comprising an alkanol, a polyalcohol and a permeation enhancer in an amount sufficient to provide permeation enhancement of the active agent through mammalian dermal or mucosal surfaces; wherein the hormonal disorder is selected from the group consisting of hypogonadism, female menopausal symptoms, female sexual dysfunction, hypoactive sexual desire disorder, and adrenal insufficiency, and wherein the administration of the formulation decreases the frequency of at least one clinical symptom of the hormonal disorder.

14. The method of claim 13, wherein the formulation is substantially free of long-chain fatty alcohols, long-chain fatty acids, and long-chain fatty esters to avoid undesirable odor and irritation during use of the formulation.

15. The method of claim 13, wherein the active agent is an androgen, estrogen, progestin, or a combination thereof.

16. The method of claim 15, wherein the androgen is selected from the group consisting of: testosterone, 17- $\beta$ -hydroxyandrostenedione, testosterone esters, methyl testosterone, testolactone, oxymetholone, fluoxymesterone, androsterone, androsterone acetate, androsterone propionate, androsterone benzoate, androstenediol, androstenediol-3-acetate, androstenediol-17-acetate, androstenediol-3,17-diacetate, androstenediol-17-benzoate, androstenediol-3-acetate-17-benzoate, androstenedione, sodium dehydroepiandrosterone sulfate, 4-dihydrotestosterone, 5  $\alpha$ -dihydrotestosterone, dromostanolone, dromostanolone propionate, ethylestrenol, nandrolone phenpropionate, nandrolone decanoate, nandrolone furoylpropionate, nandrolone cyclohexanepropionate, nandrolone benzoate, nandrolone cyclohexanecarboxylate, oxandrolone, and stanozolol or any combination thereof.

17. The method of claim 16, wherein the active agent is testosterone present in an amount between about 0.05% to 10% of the formulation; the alkanol is present in an amount between about 20 to 65% of the formulation; the polyalcohol is propylene glycol present in an amount between about 1% to 15% of the formulation; the permeation enhancer is diethylene glycol monoethyl ether present in an amount between about 1% to 15% of the formulation, and further wherein the formulation comprises a gelling agent present in an amount of between 0.01% to about 4% of the formulation, a neutralizing agent present in an amount between about 0.05% and 1% of the formulation, and water present in an amount between about 20% to 65% of the formulation.

18. The method of claim 17, wherein the formulation further includes a sequestering agent.

19. The method of claim 16, wherein the subject is a female subject, the active agent is testosterone and the therapeutically effective dosage of testosterone is from about 2.2  
5 milligrams to about 0.88 grams each 24 hours.

20. The method of claim 16, wherein the subject is a female subject, the active agent is testosterone and further wherein the method increases serum levels of testosterone to about 142 nanograms per deciliter.

21. The method of claim 16, wherein the subject is a female subject, the active  
10 agent is testosterone and further wherein the method increases serum levels of testosterone to about 17 picograms per milliliter.

22. The method of claim 15, wherein the estrogen is selected from the group consisting of: 17 beta-estradiol, estradiol, estradiol benzoate, estradiol 17 beta-cypionate, estriol, estrone, ethynil estradiol, mestranol, moxestrol, mytatrienediol, polyestradiol  
15 phosphate, quinestradiol, and quinestrol or any combination thereof.

23. The method of claim 22, wherein the subject is a female subject, the active agent is estradiol and the therapeutically effective dosage of estradiol is from about 0.375 to about 1.5 milligrams each 24 hours.

24. The method of claim 22, wherein the subject is a female subject, the active  
20 agent is estradiol and the free serum concentration of estradiol is increased to about 8.8 nanograms.

25. The method of claim 22, wherein the subject is a female subject, the active agent is estradiol and further wherein the method increases serum levels of estrone to about 10.4 nanograms per deciliter.

26. The method of claim 22, wherein the subject is a female subject, the active  
25 agent is estradiol and further wherein the method increases serum levels of estrone to about 193 nanograms per deciliter.

27. The method of claim 15, wherein the progestin is selected from the group consisting of: allylestrenol, anagestone, chlormadinone acetate, delmadinone acetate, demegestone, desogestrel, dimethisterone, dydrogesterone, ethynilestrenol, ethisterone, ethynodiol, ethynodiol diacetate, flurogestone acetate, gestodene, gestonorone caproate,  
30

haloprogestosterone, 17-hydroxy-16-methylene-progesterone, 17 alpha -hydroxyprogesterone, 17 alpha-hydroxygesterone caproate, lynestrenol, medrogestone, medroxyprogesterone, megestrol acetate, melengestrol, norethindrone, norethindrone acetate, norethynodrel, norgesterone, norgestimate, norgestrel, norgestrienone, 19-norprogesterone, norvinisterone, 5 pentagestrone, progesterone, natural progesterone, promegestone, quingestrone, and trengestone or any combination thereof.

28. The method of claim 13, wherein the active agent is a combination of two different active agents administered concurrently.

29. The method of claim 15, wherein a female subject is treated for 10 hypogonadism, female menopausal symptoms, or female sexual disorder, and the formulation comprises testosterone in combination with a further active agent selected from the group consisting of estrone, estradiol, 17  $\beta$  estradiol, ethynil estradiol, estriol, succinate, estriol dihexanate and estriol sulfamate.

30. The method of claim 15, wherein a female subject is treated for hypogonadism 15 or female menopausal symptoms, and the active agent includes estradiol in combination with a progestin.

31. The method of claim 16, wherein a male subject is treated for hypogonadism, and the active agent includes at least one androgen.

32. The method of claim 31, wherein the at least one androgen includes methyl- 20 testosterone in combination with methandrostenolate.

33. The method of claim 13, wherein the method includes treating a subject for adrenal insufficiency, and the active agent includes dehydroepiandrosterone (DHEA).

34. The method of claim 13, wherein the alkanol is a selected from the group consisting of ethanol, isopropanol, and n-propanol, the polyalcohol is propylene glycol, and 25 the permeation enhancer is a monoalkyl ether of diethylene glycol or a tetraglycol furol, the alkanol is in a mixture with water, and the mixture is present in an amount of between about 40 to about 98% of the delivery vehicle.

35. The method of claim 13, wherein the formulation is in the form of a gel, lotion, cream, spray, aerosol, ointment, emulsion, suspension, liposomal system, lacquer, 30 patch, bandage, or occlusive dressing.

36. A method for treating hormonal disorders in a subject, the method comprising administering to a subject in need of such treatment a formulation comprising at least one active agent, provided that the active agent is not testosterone alone, and that when the active agent is an estrogen or progestin, a therapeutically effective amount of a progestin or estrogen, respectively, is not present in the formulation, and a delivery vehicle comprising an aliphatic alcohol, a polyalcohol, and a permeation enhancer in an amount sufficient to provide permeation enhancement of the active agent through dermal or mucosal surfaces; wherein the formulation is substantially free of long-chain fatty alcohols, long-chain fatty acids, and long-chain fatty esters to avoid undesirable odor and irritation.

37. The method of claim 36, wherein the delivery vehicle is present in an amount sufficient to reduce or prevent transfer of the formulation to clothing or to another being, thereby minimizing contamination of clothing by the formulation.

38. The method of claim 36, wherein the polyalcohol is present in an amount between about 1% and 30% of the vehicle, the aliphatic alcohol is present in an amount between about 5 to 80% by weight of the vehicle, the permeation enhancer is present in an amount of between about 1% and 30% of the vehicle, and water is optionally present in the vehicle.

39. A formulation for the transdermal or transmucosal administration of an active agent comprising:

at least one active agent; and

a delivery vehicle comprising an alkanol, a polyalcohol and a permeation enhancer of a tetraglycol furol in an amount sufficient to provide permeation enhancement of the active agent through mammalian dermal or mucosal surfaces.

40. The formulation of claim 39, wherein the formulation is substantially free of long-chain fatty alcohols, long-chain fatty acids, and long-chain fatty esters to avoid undesirable odor and irritation during use of the formulation.

41. The formulation of claim 39, wherein the alkanol is present in an amount between about 5 to 80% by weight of the delivery vehicle, the polyalcohol is present in an amount between about 1% to 30% by weight of the delivery vehicle, and the permeation enhancer is glcofurol and is present in an amount between about 1 to 30% by weight of the delivery vehicle so that the delivery vehicle facilitates absorption of the at least one active

agent by the dermal or mucosal surfaces so that transfer or removal of the formulation from such surfaces is minimized.

42. The formulation of claim 41, wherein the alkanol is in combination with water to form a hydroalcoholic mixture, the hydroalcoholic mixture is present in an amount of  
5 between about 40 to about 98% by weight of the delivery vehicle, and the alkanol is present in an amount of between about 5% to 80% by weight of the mixture, and the water is present in an amount of between about 20% to 95% by weight of the mixture.

43. The formulation of claim 41, wherein the polyalcohol and permeation enhancer are present in a weight ratio of 2:1 to 1:1.

10 44. The formulation of claim 41, wherein the alkanol is a C<sub>2</sub> to C<sub>4</sub> alcohol selected from the group consisting of ethanol, isopropanol, and n-propanol, and the polyalcohol is polypropylene glycol.

45. The formulation of claim 39, wherein the active agent is androgen, estrogen, progestin, or a combination thereof.

15 46. The formulation of claim 45, wherein the androgen is selected from the group consisting of : testosterone, 17- $\beta$ -hydroxyandrostenedione, testosterone esters, methyl testosterone, testolactone, oxymetholone, fluoxymesterone, androsterone, androsterone acetate, androsterone propionate, androsterone benzoate, androstenediol, androstenediol-3-acetate, androstenediol-17-acetate, androstenediol-3,17-diacetate, androstenediol-17-benzoate,  
20 androstenediol-3-acetate-17-benzoate, androstenedione, sodium dehydroepiandrosterone sulfate, 4-dihydrotestosterone, 5  $\alpha$ -dihydrotestosterone, dromostanolone, dromostanolone propionate, ethylestrenol, nandrolone phenpropionate, nandrolone decanoate, nandrolone furylpropionate, nandrolone cyclohexanepropionate, nandrolone benzoate, nandrolone cyclohexanecarboxylate, oxandrolone, and stanozolol or any combination thereof.

25 47. The formulation of claim 45, wherein the estrogen is selected from the group consisting of: 17 beta-estradiol, estradiol, estradiol benzoate, estradiol 17 beta-cypionate, estriol, estrone, ethynil estradiol, mestranol, moxestrol, mytatrienediol, polyestradiol phosphate, quinestradiol, and quinestrol or any combination thereof.

30 48. The formulation of claim 39, wherein the formulation further comprises at least one of a gelling agent, neutralizing agent; buffering agent, moisturizing agent, humectant, surfactant, antioxidant, emollient, or buffer.



49. The formulation of claim 39, wherein the formulation is in the form of a gel, lotion, cream, spray, aerosol, ointment, emulsion, suspension, liposomal system, lacquer, patch, bandage, or occlusive dressing.

50. A method for treating hormonal disorders in a subject, the method comprising  
5 administering to a subject in need of such treatment a formulation comprising at least one active agent and a delivery vehicle comprising an aliphatic alcohol, a polyalcohol, and a permeation enhancer of a tetraglycol furol in an amount sufficient to provide permeation enhancement of the active agent through dermal or mucosal surfaces.

51. The method of claim 50, wherein the formulation is substantially free of long-  
10 chain fatty alcohols, long-chain fatty acids, and long-chain fatty esters to avoid undesirable odor and irritation.

52. The method of claim 50, wherein the active agent is an androgen, estrogen, progestin, or a combination thereof.

53. The method of claim 50, the administration of the formulation decreases the  
15 frequency of at least one clinical symptom of the hormonal disorder.

54. The method of claim 50, wherein the hormonal disorder includes hypogonadism, female menopausal symptoms, female sexual dysfunction, hypoactive sexual desire disorder, and adrenal insufficiency.

55. The method of claim 54, wherein the administration of the formulation  
20 decreases the frequency of at least one clinical symptom including: hot flashes, night sweats, vaginal atrophy, decreased libido, and osteoporosis, impotence, muscle weakness.

56. The method of claim 50, wherein the polyalcohol is present in an amount between about 1% and 30% of the vehicle, the aliphatic alcohol is present in an amount of between about 5 to 80% by weight of the vehicle, the permeation enhancer is glycofurol and  
25 is present in an amount of between about 1% and 30% of the vehicle, and water is optionally present in the vehicle.

57. The method of claim 50, wherein the formulation is in the form of a cream, ointment, gel or lotion.

58. Use of a formulation according any of the claims 1 to 12 or 39 to 49 for the  
30 preparation of a medicament for treating hormonal disorders in a subject.

59. The use according to claim 58, wherein the hormonal disorders are selected from the group consisting of hypogonadism, female menopausal symptoms, female sexual dysfunction, hypoactive sexual desire disorder, and adrenal insufficiency,

60. The use according to claim 58 or 59, wherein the active agent is androgen,  
5 estrogen, progestin, or a combination thereof.

61. The use according to claim 60, wherein the androgen is selected from the group consisting of: testosterone, 17- $\beta$ -hydroxyandrostenedione, testosterone esters, methyl testosterone, testolactone, oxymetholone, fluoxymesterone, androsterone, androsterone acetate, androsterone propionate, androsterone benzoate, androstenediol, androstenediol-3-acetate, androstenediol-17-acetate, androstenediol-3,17-diacetate, androstenediol-17-benzoate,  
10 androstenediol-3-acetate-17-benzoate, androstenedione, sodium dehydroepiandrosterone sulfate, 4-dihydrotestosterone, 5  $\alpha$ -dihydrotestosterone, dromostanolone, dromostanolone propionate, ethylestrenol, nandrolone phenpropionate, nandrolone decanoate, nandrolone furylpropionate, nandrolone cyclohexanepropionate, nandrolone benzoate, nandrolone cyclohexanecarboxylate, oxandrolone, and stanozolol or any combination thereof.  
15

62. The use according to claim 60, wherein the estrogen is selected from the group consisting of: 17 beta-estradiol, estradiol, estradiol benzoate, estradiol 17 beta-cypionate, estriol, estrone, ethynil estradiol, mestranol, moxestrol, mytatrienediol, polyestradiol phosphate, quinestradiol, and quinestrol or any combination thereof.

63. The use according to claim 60, wherein the progestin is selected from the group consisting of: allylestrenol, anagestone, chlormadinone acetate, delmadinone acetate, demegestone, desogestrel, dimethisterone, dydrogesterone, ethynilestrenol, ethisterone, ethynodiol, ethynodiol diacetate, flurogestone acetate, gestodene, gestonorone caproate, haloprogestosterone, 17-hydroxy-16-methylene-progesterone, 17  $\alpha$ -hydroxyprogesterone,  
25 17  $\alpha$ -hydroxygesterone caproate, lynestrenol, medrogestone, medroxyprogesterone, megestrol acetate, melengestrol, norethindrone, norethindrone acetate, norethynodrel, norgesterone, norgestimate, norgestrel, norgestrienone, 19-norprogesterone, norvinisterone, pentagestrone, progesterone, natural progesterone, promegestone, quingestron, and trengestone or any combination thereof.

64. Use of a permeation enhancer to provide permeation enhancement of an effective dosage of at least one active agent through mammalian dermal or mucosal surfaces characterized in that the permeation enhancer is added to a delivery vehicle for the

formulation, where the delivery comprises an alkanol and a polyalcohol, and wherein the formulation is intended for treating hormonal disorders in a subject; wherein the hormonal disorder is selected from the group consisting of hypogonadism, female menopausal symptoms, female sexual dysfunction, hypoactive sexual desire disorder, and adrenal insufficiency, and wherein the administration of the formulation decreases the frequency of at least one clinical symptom of the hormonal disorder.

65. Use of a permeation enhancer to provide permeation enhancement of an effective dosage of at least one active agent through mammalian dermal or mucosal surfaces characterized in that the permeation enhancer is added to a delivery vehicle comprising an alkanol and a polyalcohol, wherein the formulation is substantially free of long-chain fatty alcohols, long-chain fatty acids, and long-chain fatty esters to avoid undesirable odor and irritation and provided that the active agent is not testosterone alone, and that when the active agent is an estrogen or progestin, a therapeutically effective amount of a progestin or estrogen, respectively, is not present in the formulation.

66. Use of a permeation enhancer to provide permeation enhancement of an effective dosage of at least one active agent through mammalian dermal or mucosal surfaces characterized in that the permeation enhancer is added to a delivery vehicle for the formulation, where the delivery comprises an alkanol and a polyalcohol, and the permeation enhancer is a tetraglycol furol and is present in the formulation in an amount sufficient to provide permeation enhancement of the active agent through dermal or mucosal surfaces.

67. A kit for treating a subject for increasing serum levels of an active agent in a subject comprising: a formulation comprising an effective dosage of at least one active agent and a delivery vehicle comprising an alkanol, a polyalcohol and a permeation enhancer in an amount sufficient to provide permeation enhancement of the active agent through mammalian dermal or mucosal surfaces, wherein the formulation is substantially free of long-chain fatty alcohols, long-chain fatty acids and long-chain fatty esters to avoid undesirable odor and irritation from such compounds during use of the formulation; and

a container that retains the formulation and includes a dispenser for releasing or applying a predetermined dosage or volume of the formulation upon demand.

68. The kit of claim 67, wherein the dispenser automatically releases the predetermined dosage or volume upon activation by a user.

69. The kit of claim 67, wherein the dispenser is a pump.

70. A formulation for the transdermal or transmucosal administration of an active agent comprising:

at least one active agent comprising dehydroepiandrosterone (DHEA); and

a delivery vehicle comprising an alkanol, a polyalcohol and a permeation enhancer

5 in an amount sufficient to provide permeation enhancement of the active agent through mammalian dermal or mucosal surfaces.

1/11

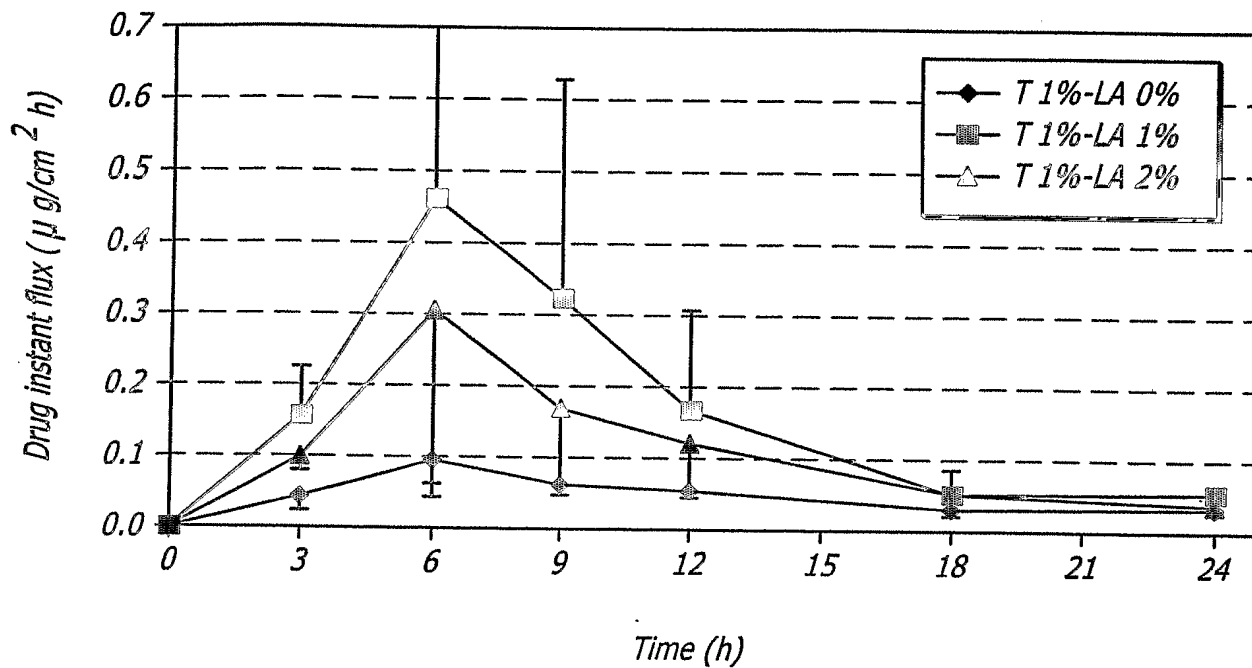


FIG. 1

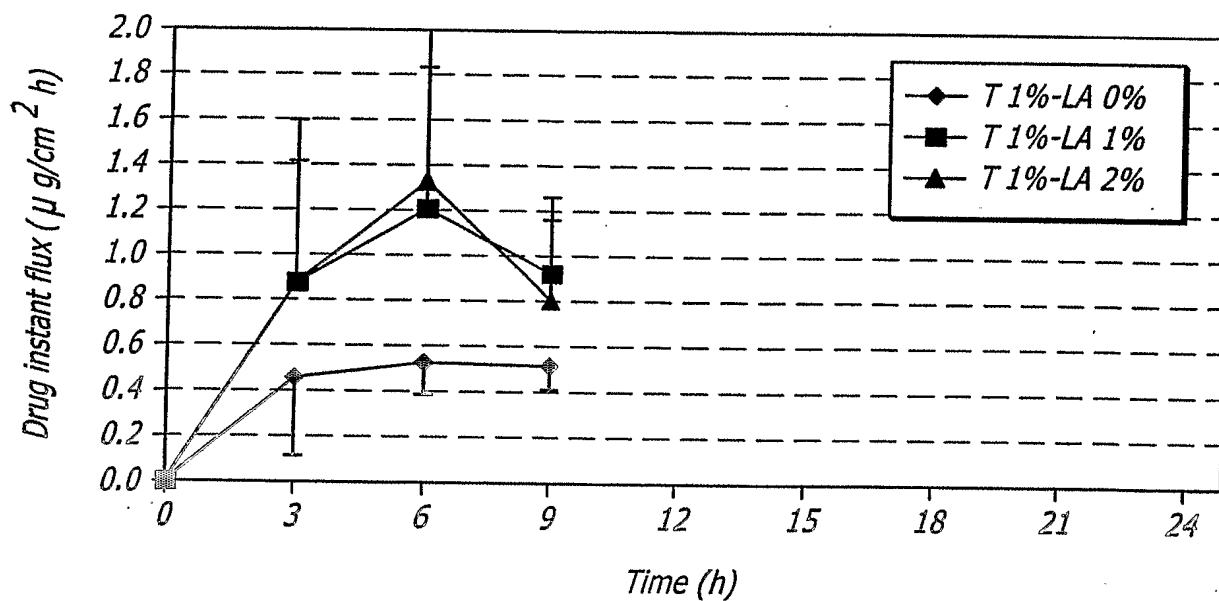


FIG. 2

2/11

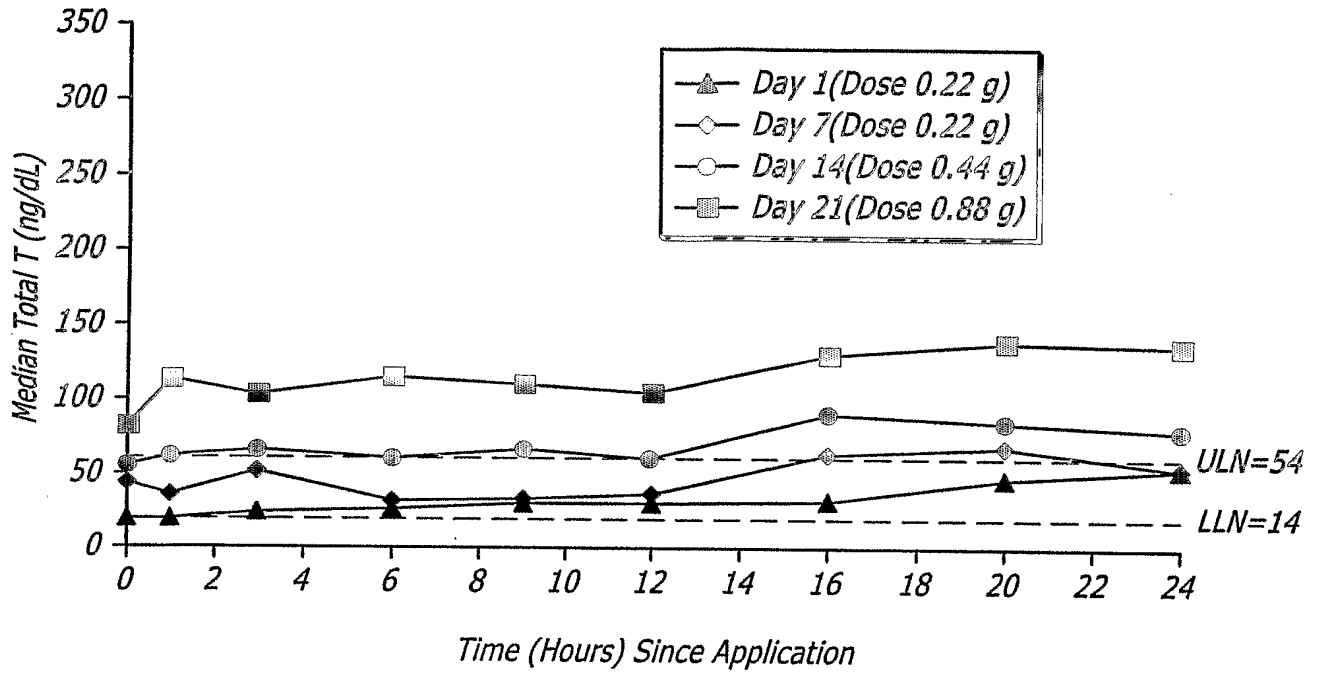


FIG. 3A

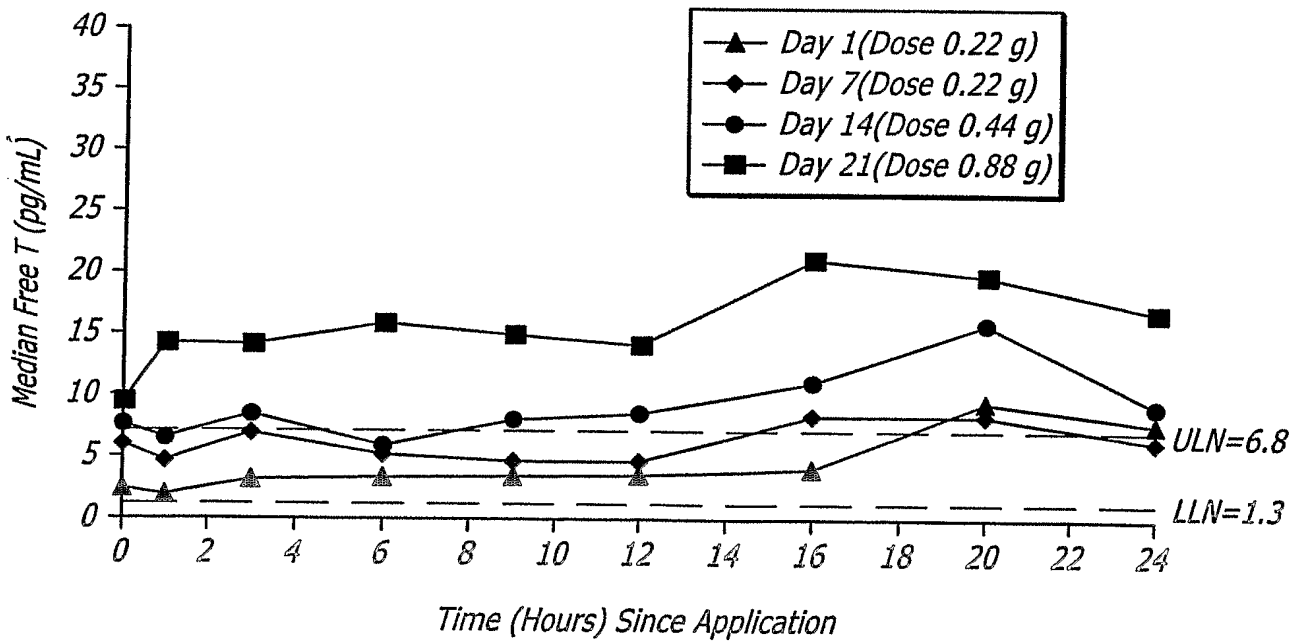


FIG. 3B

3/11

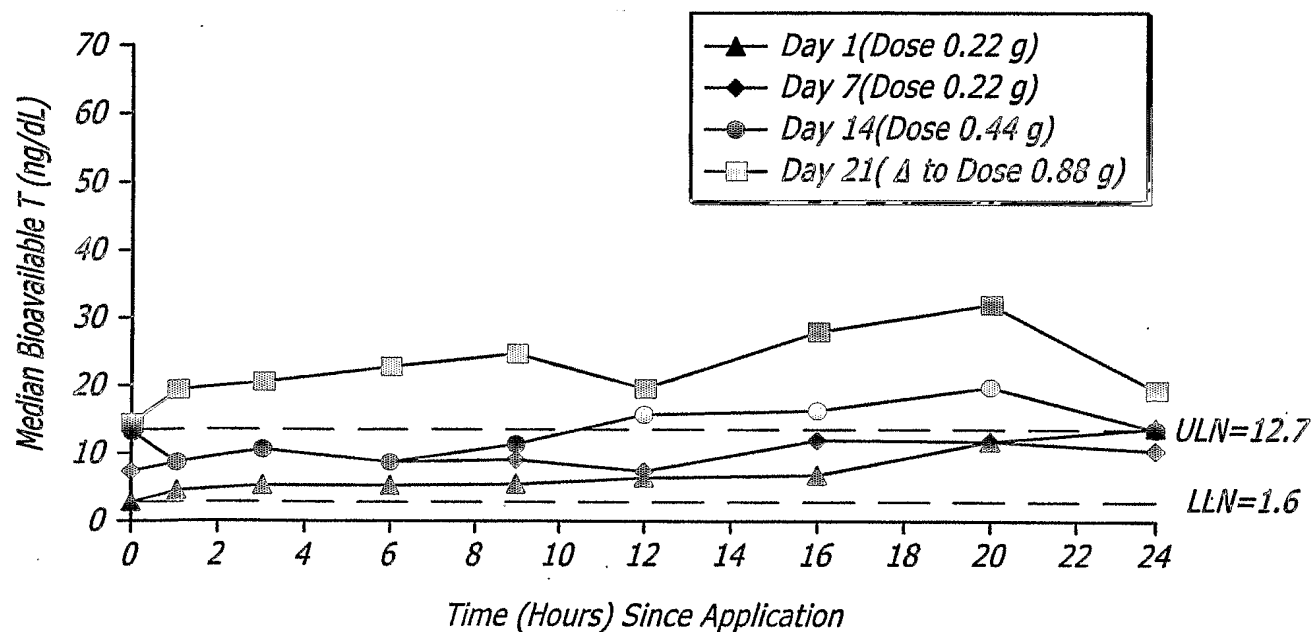


FIG. 3C

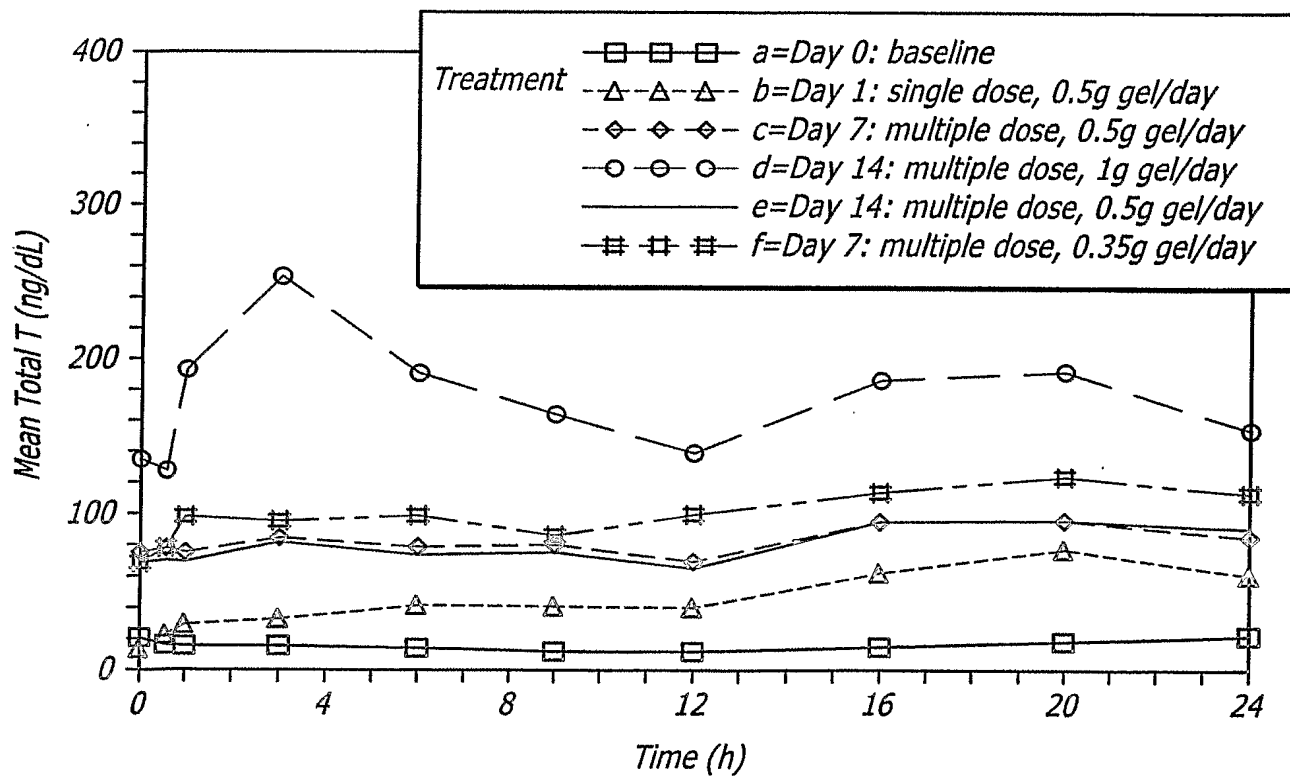


FIG. 3D

4/11

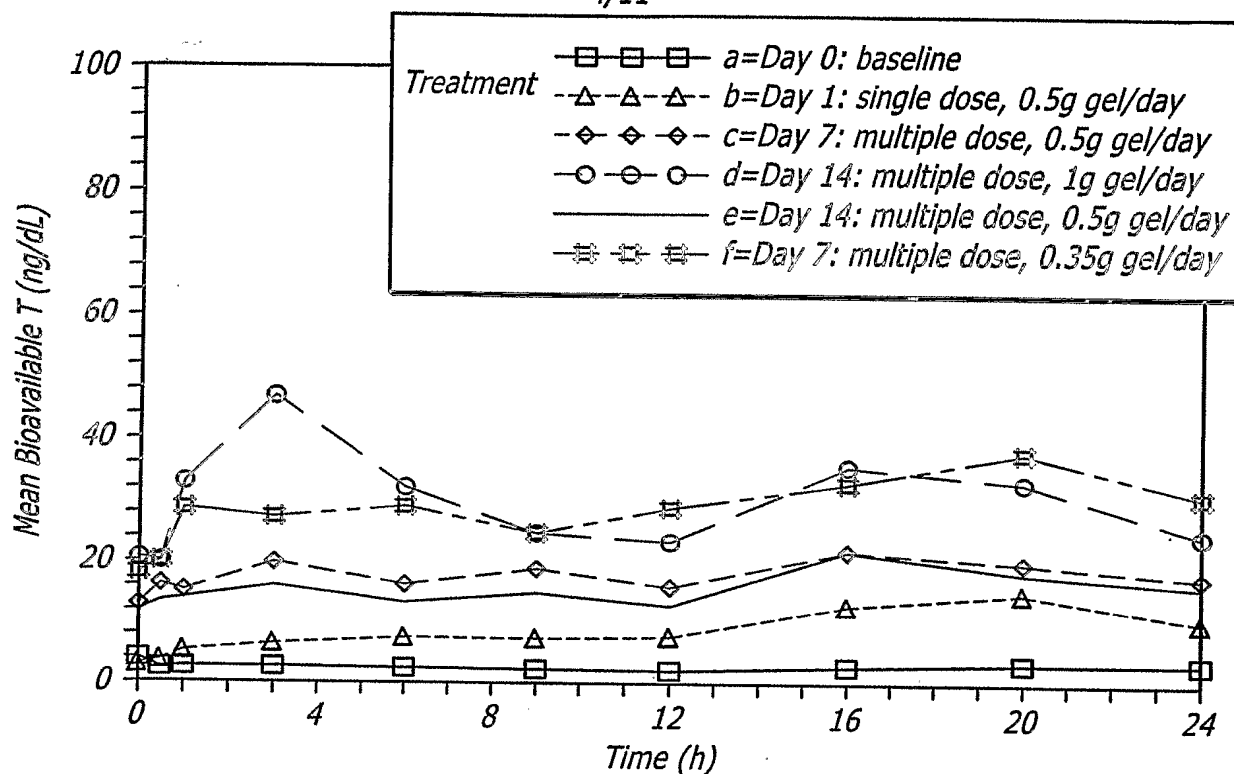


FIG. 3E

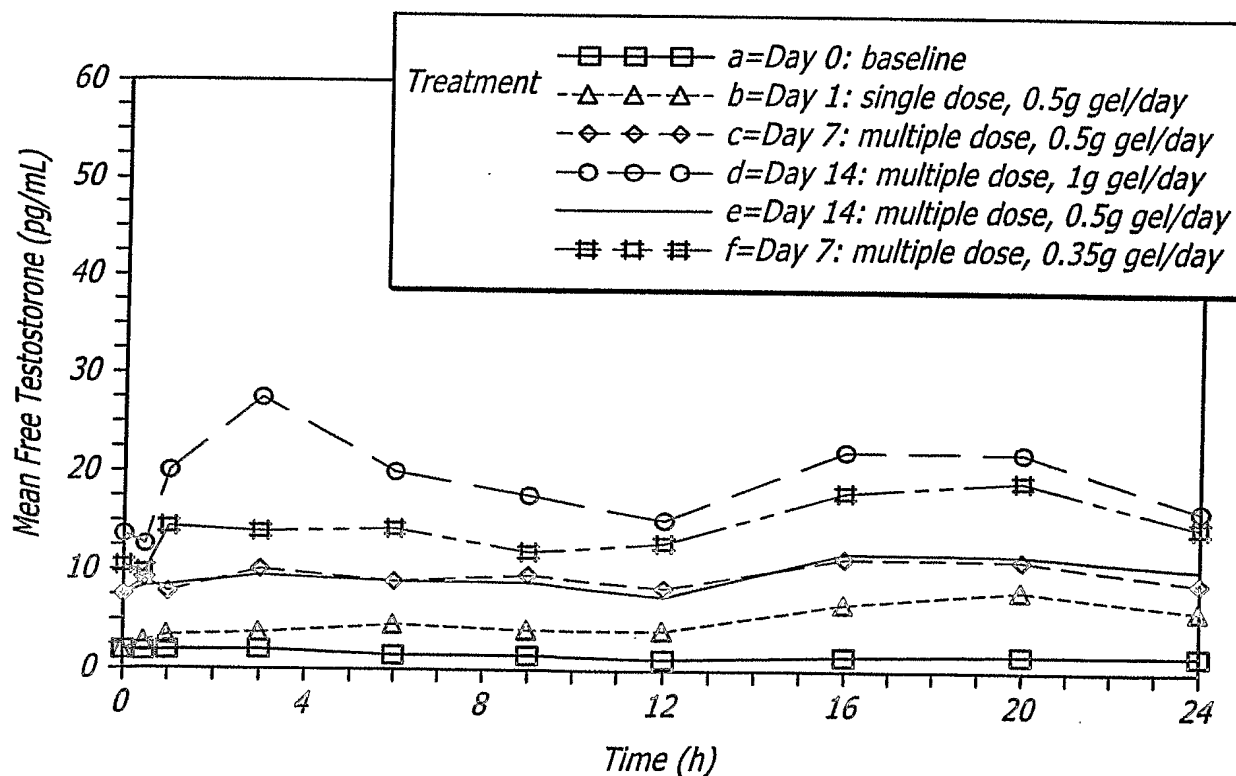


FIG. 3F



5/11

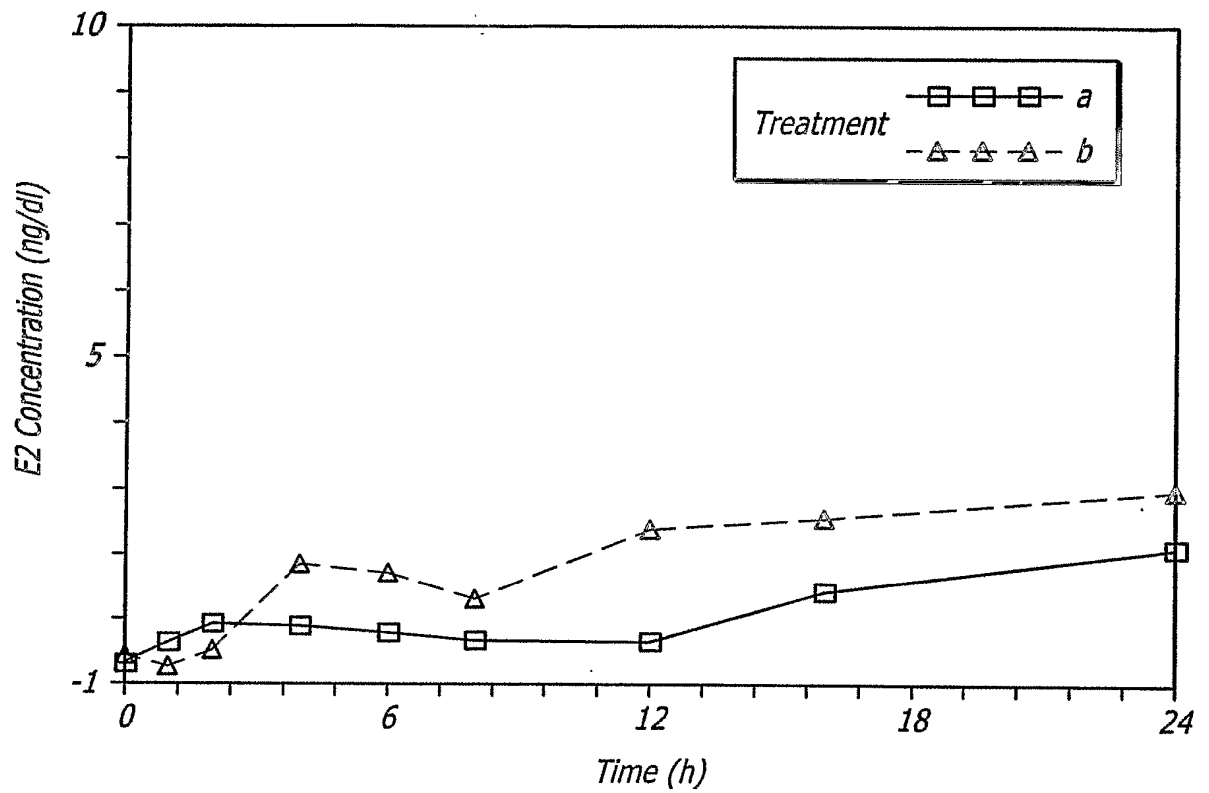


FIG. 4A

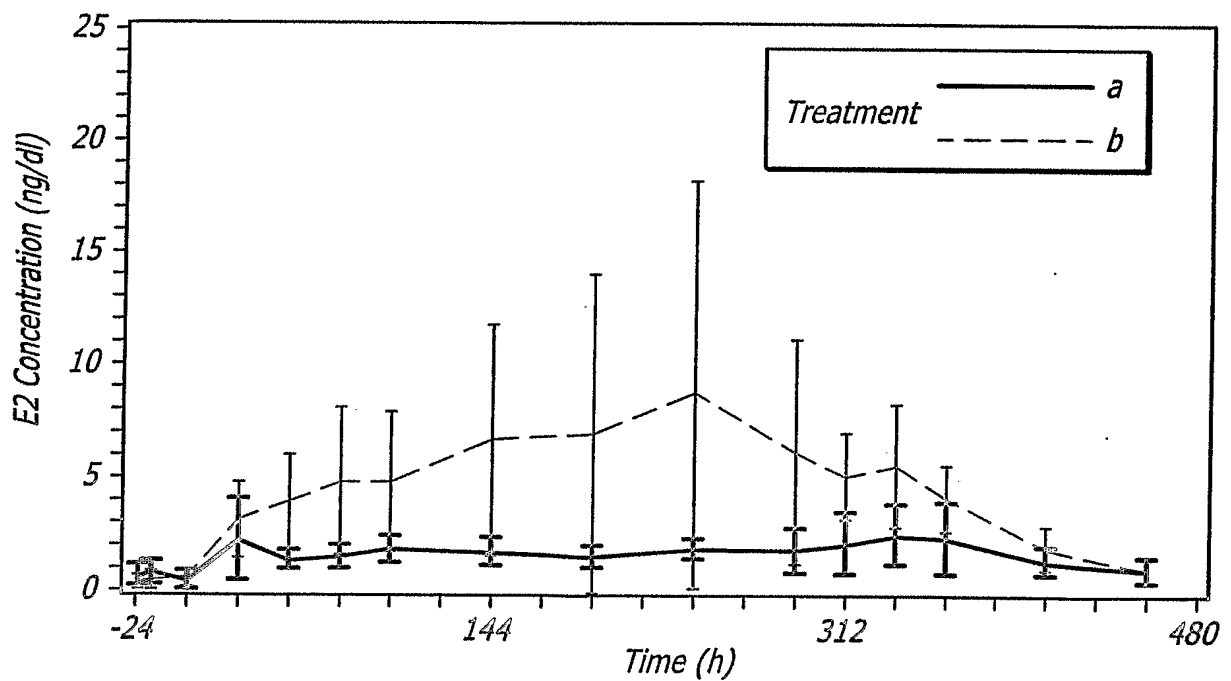


FIG. 4B

6/11

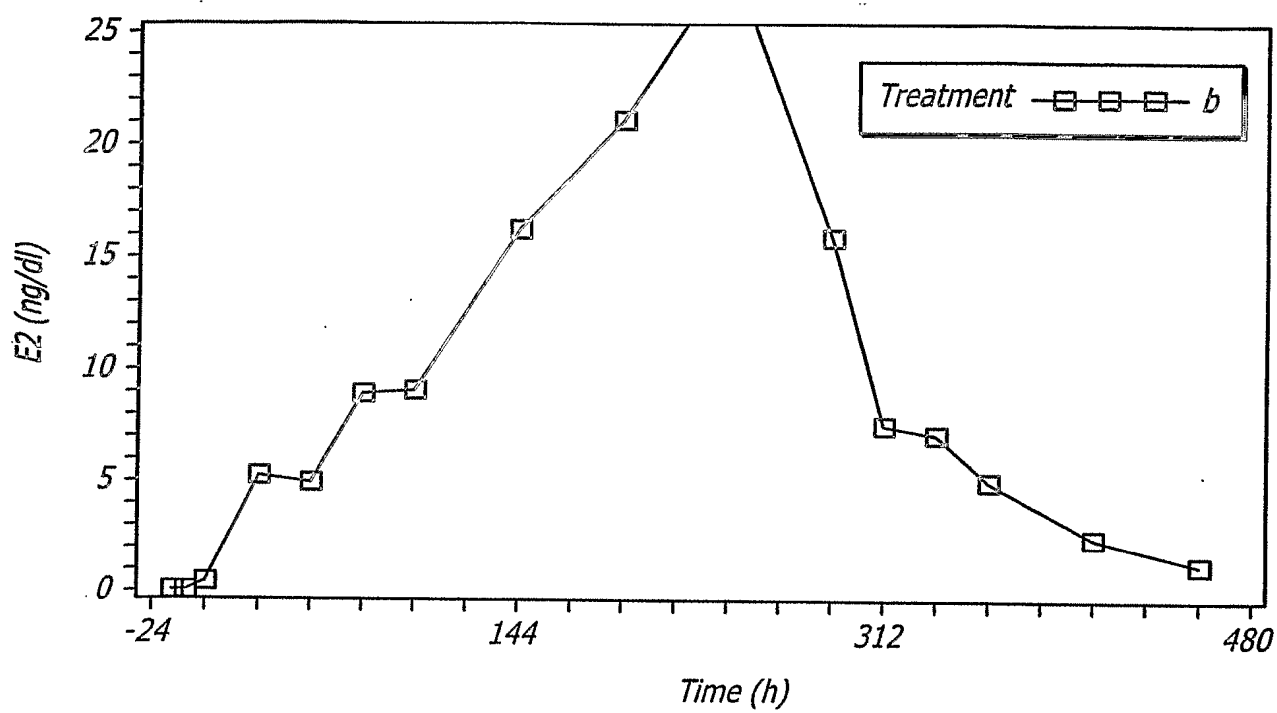


FIG. 4C

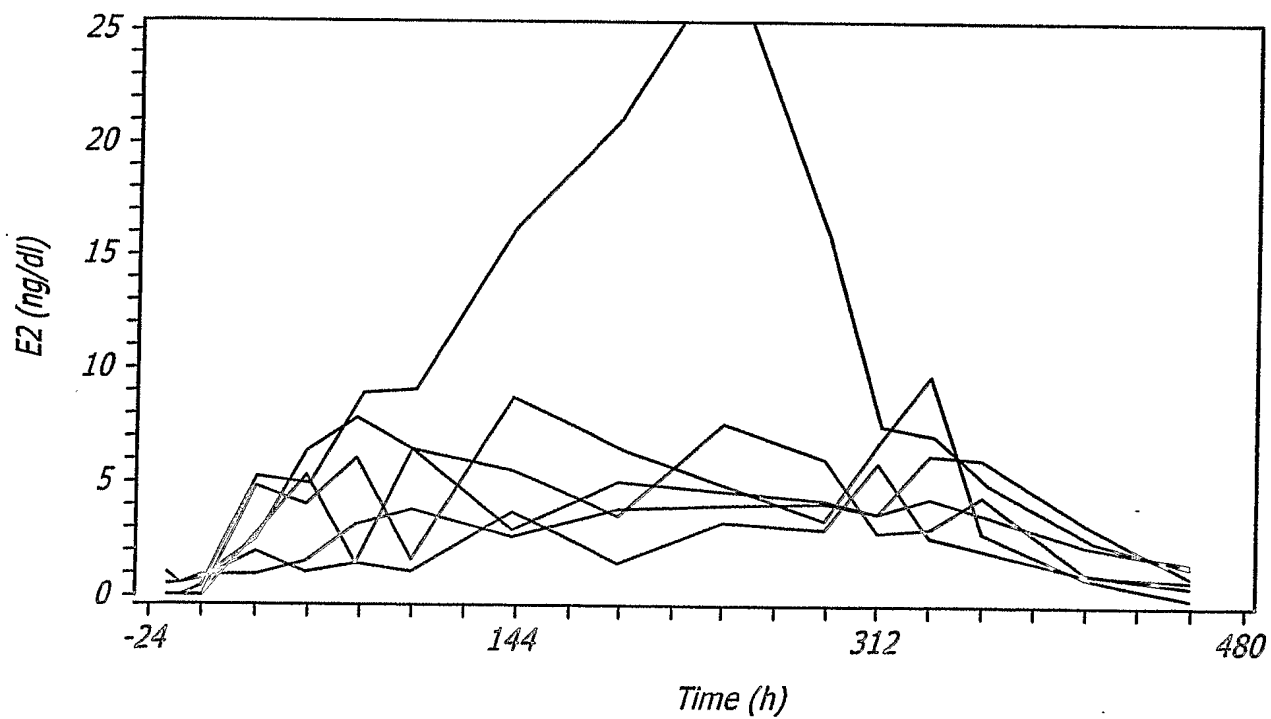


FIG. 4D

//11

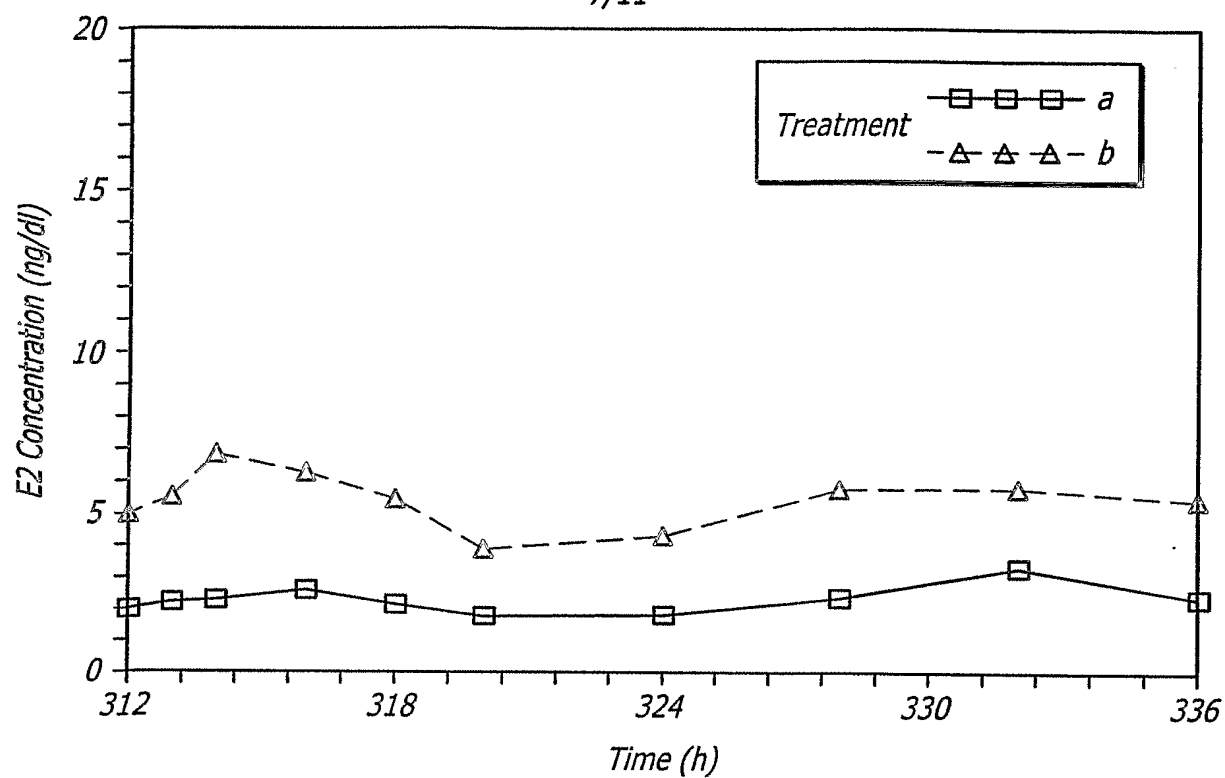


FIG. 4E

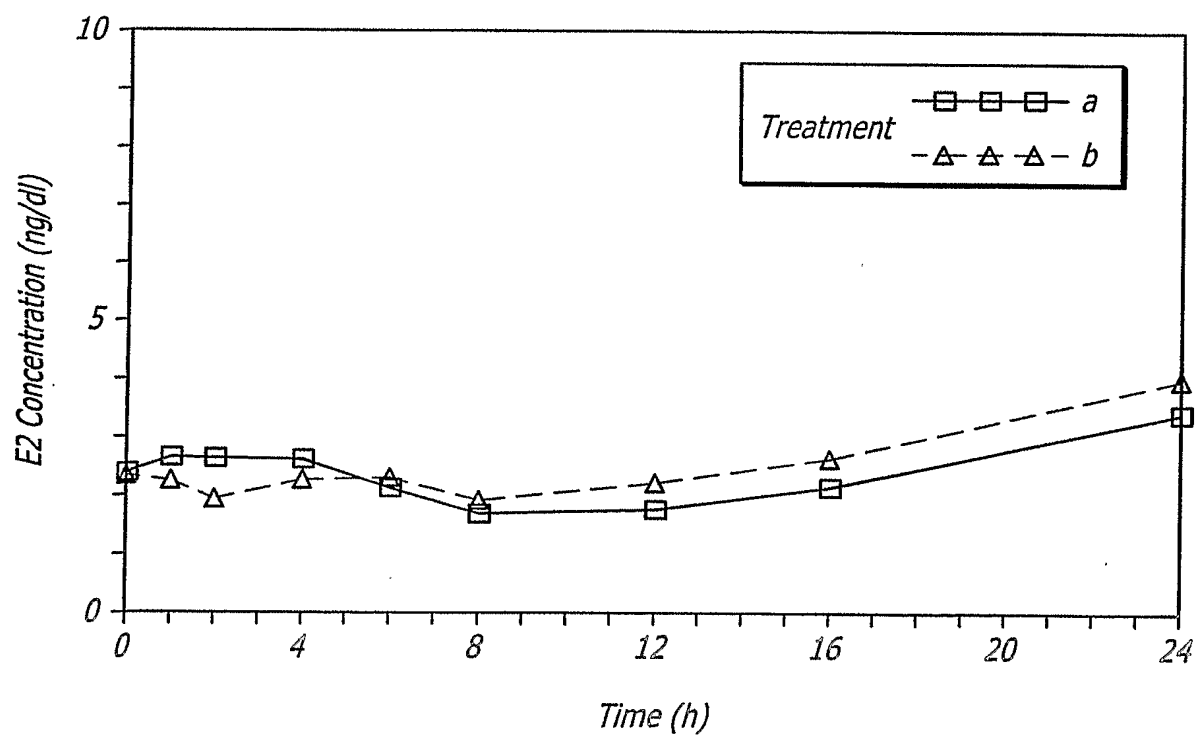


FIG. 4F

8/11

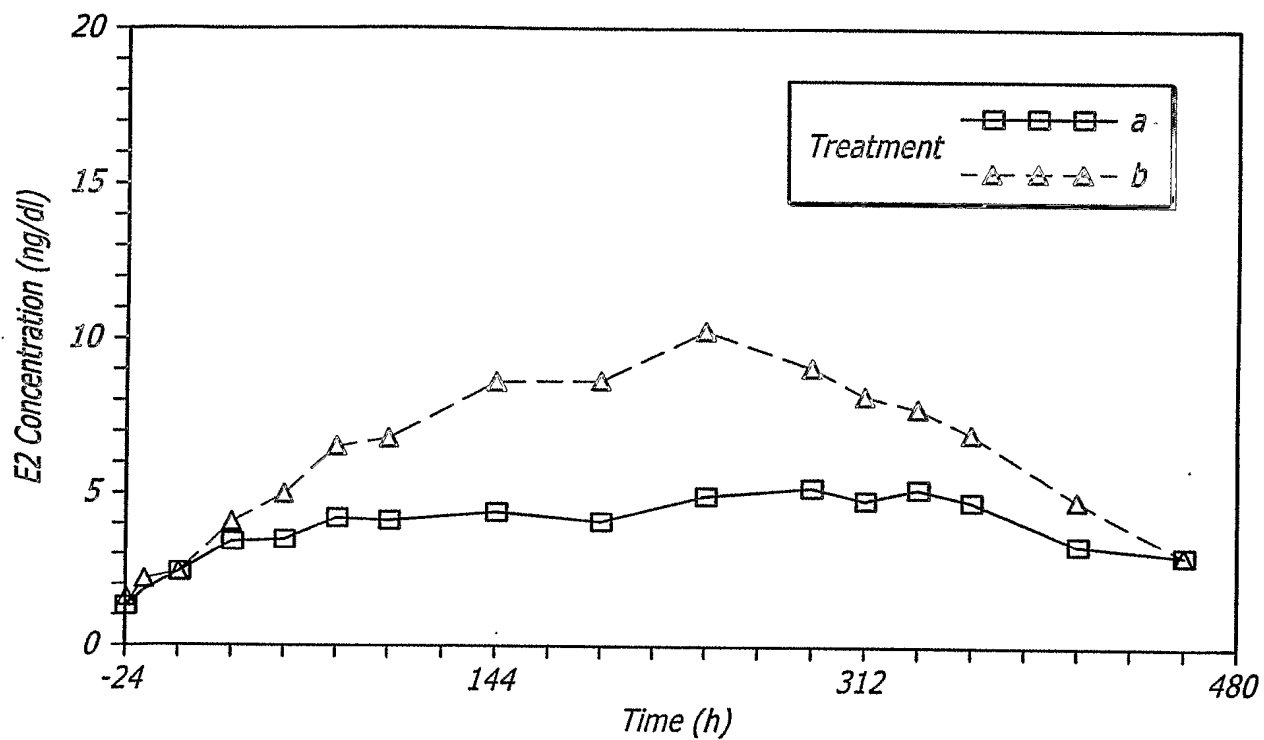


FIG. 4G

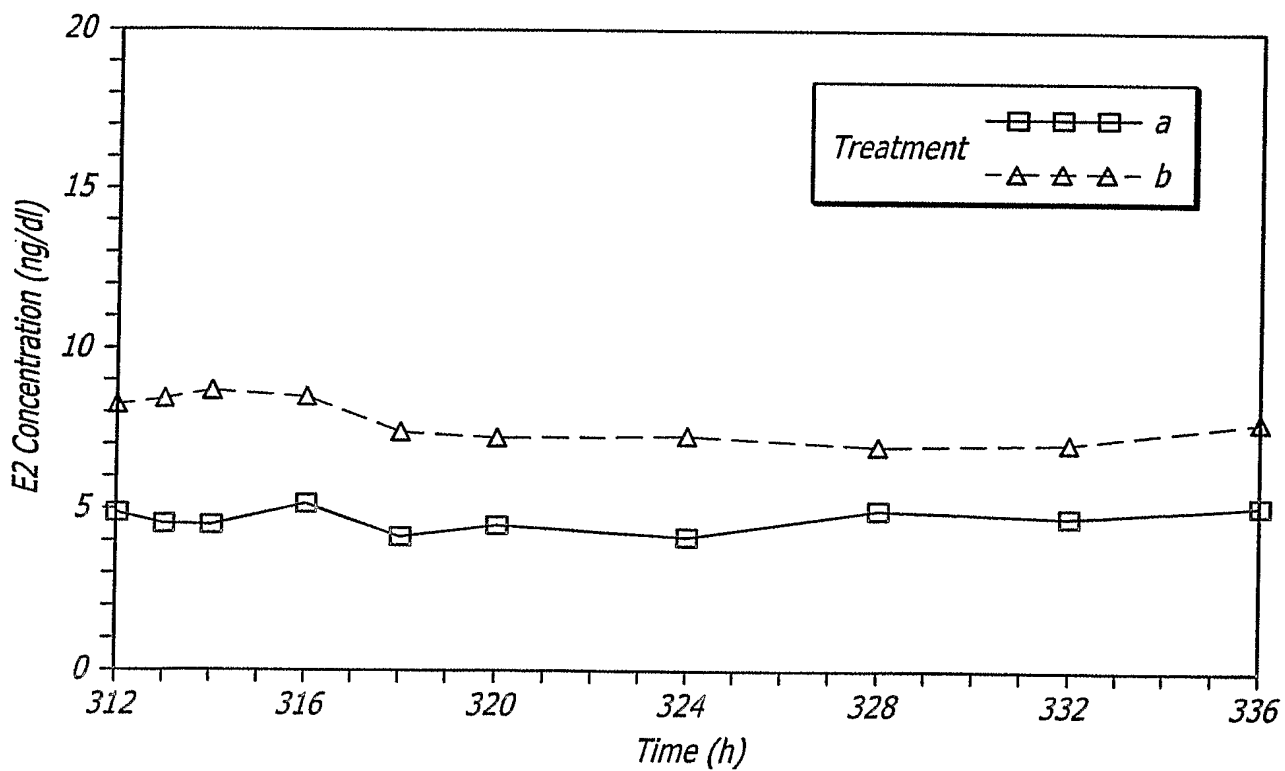


FIG. 4H

9/11

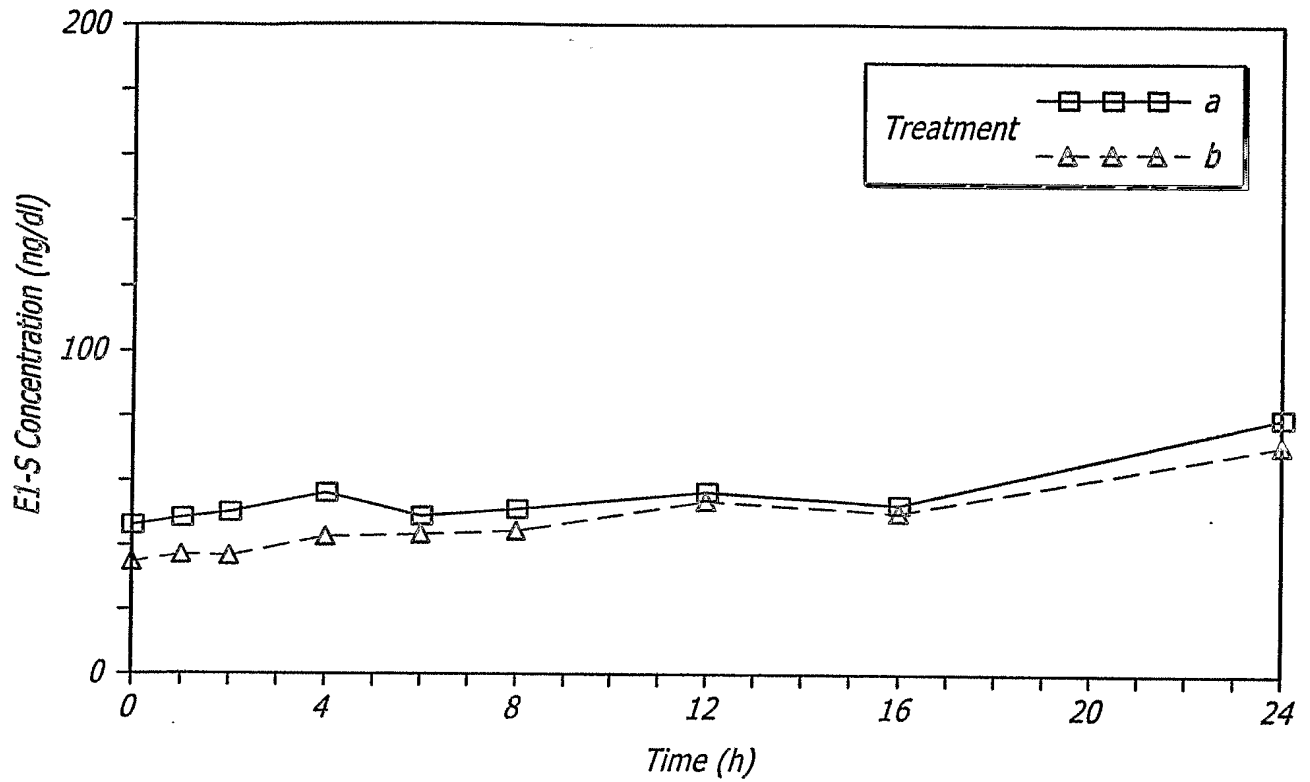


FIG. 4I

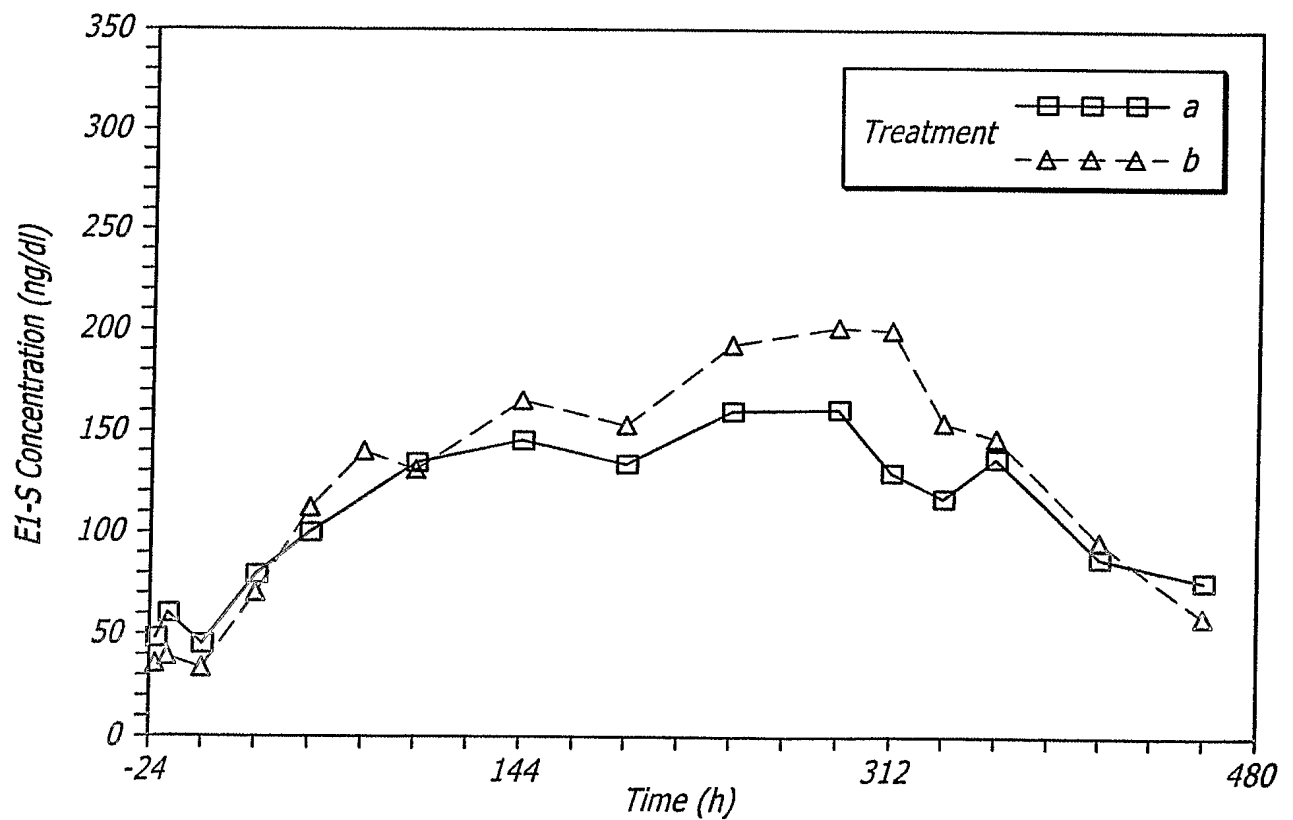


FIG. 4J

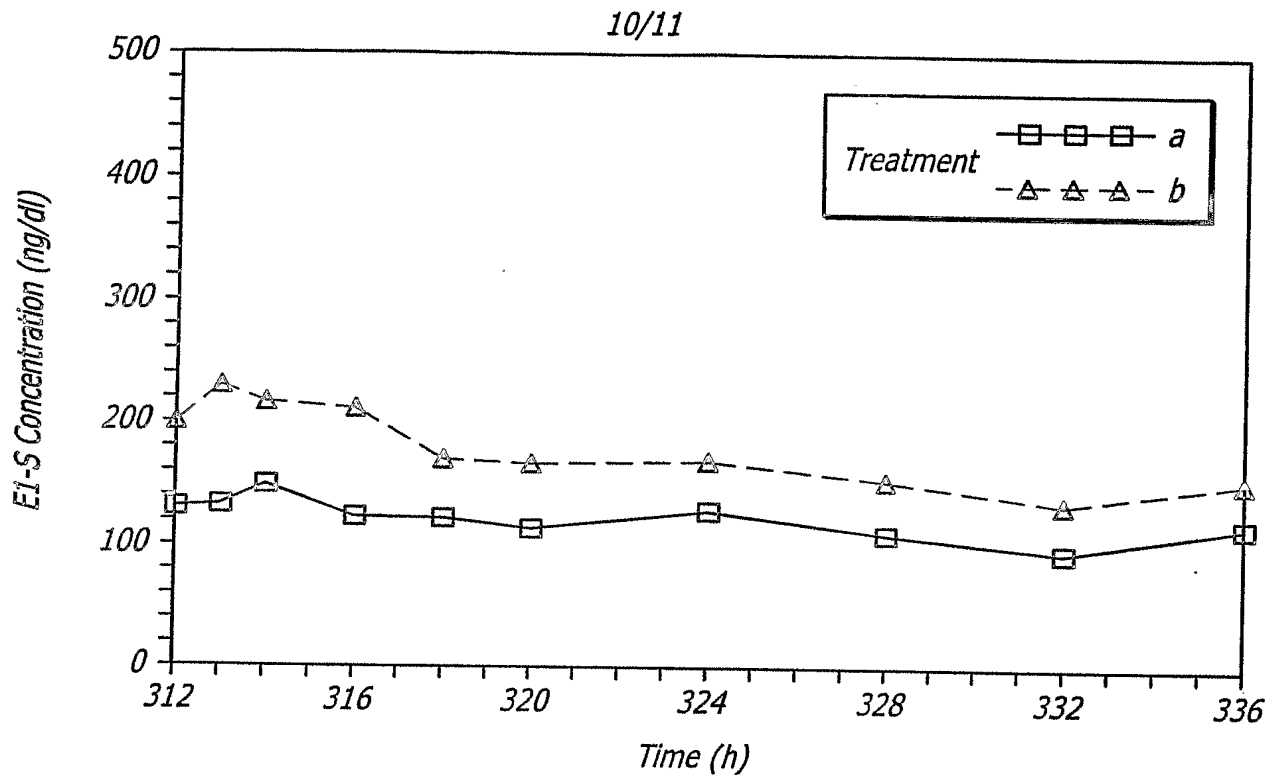


FIG. 4K

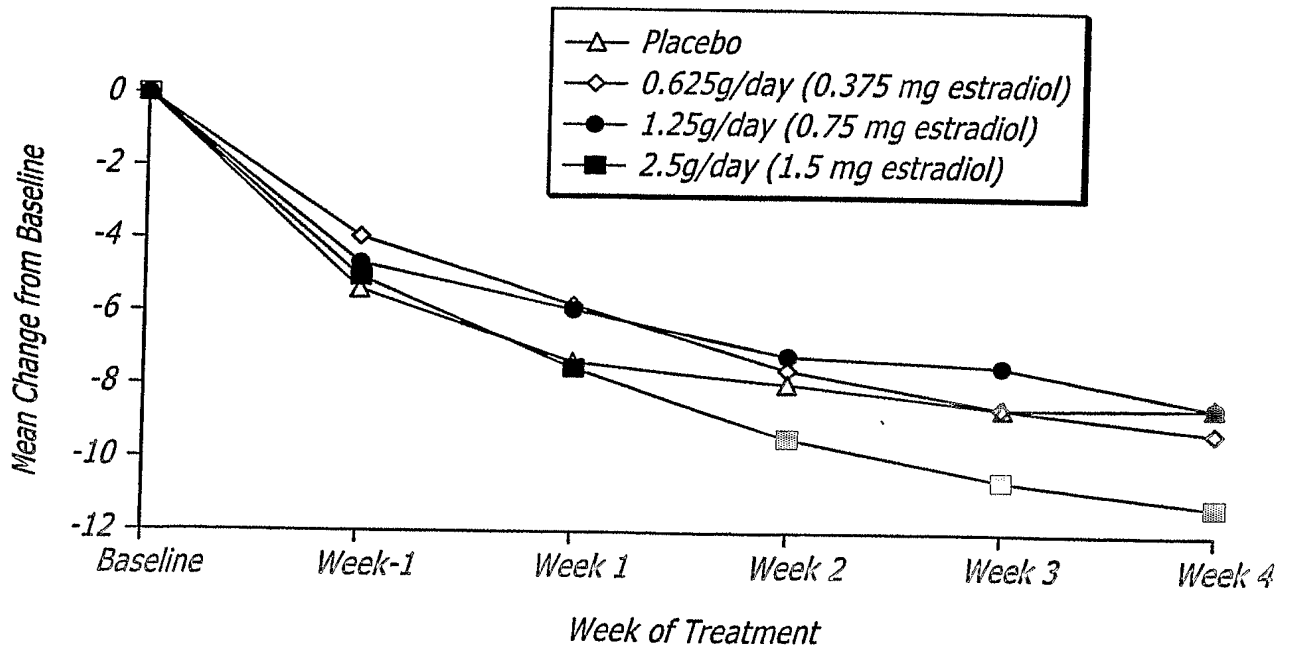


FIG. 5A

11/11

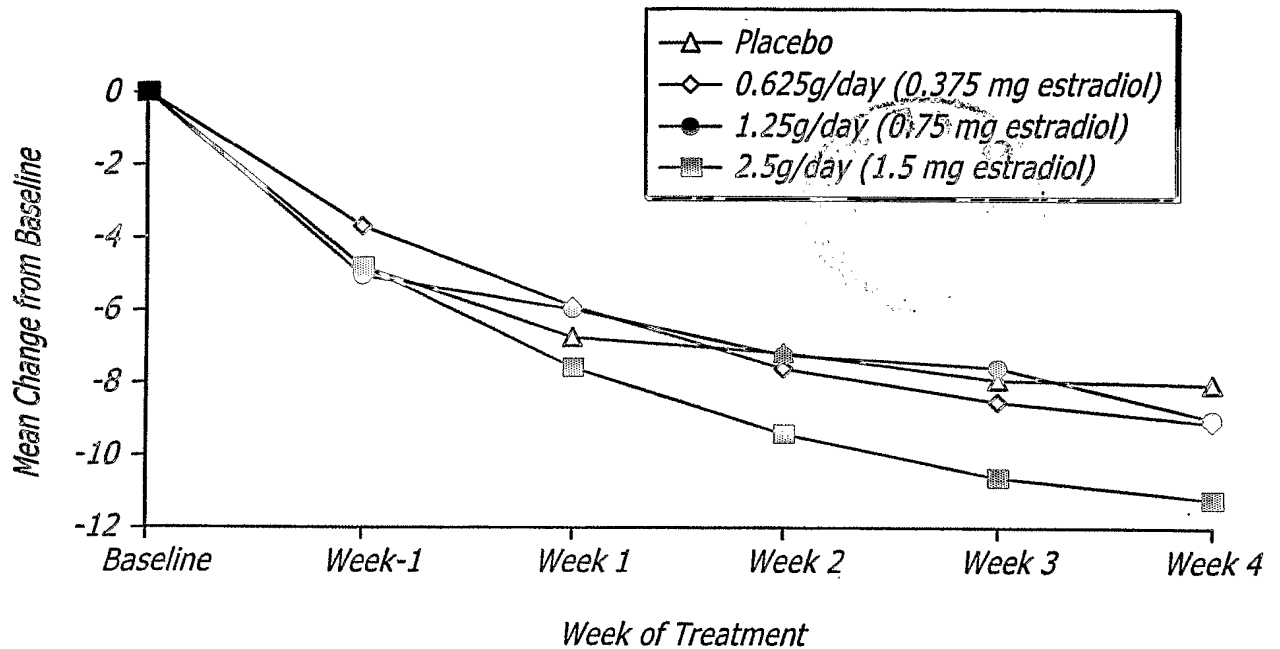


FIG. 5B

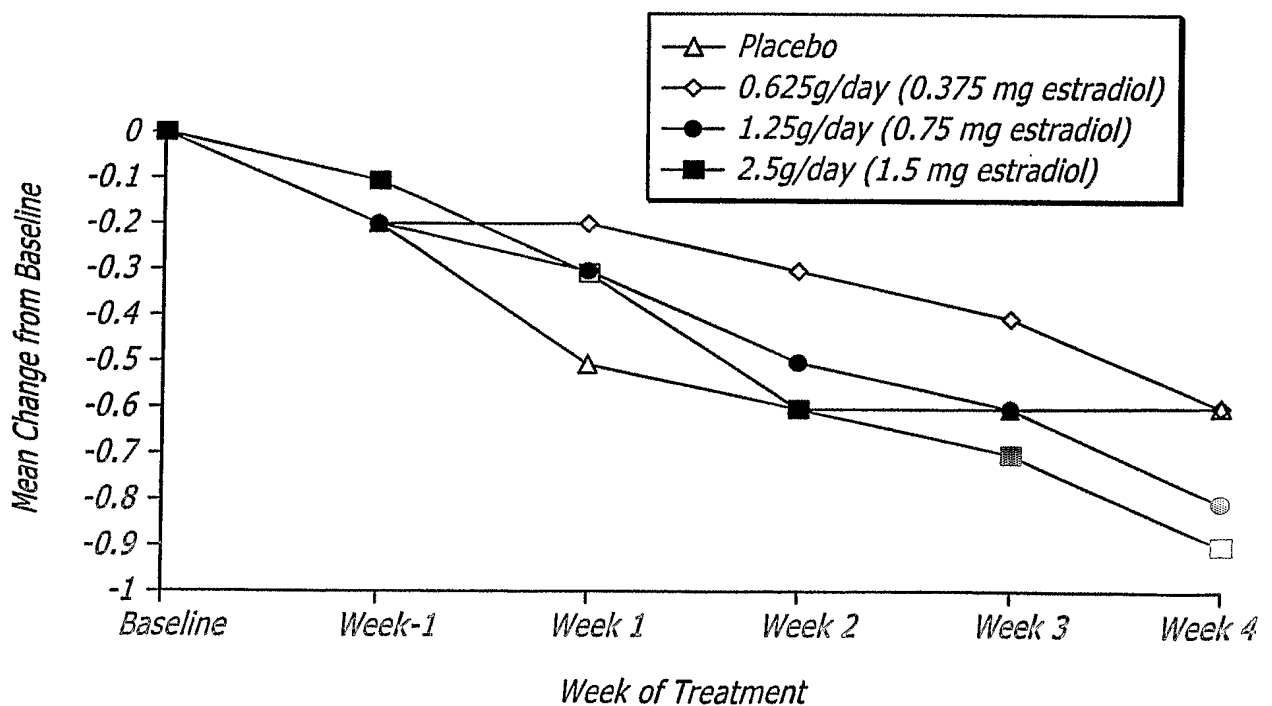


FIG. 5C

(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
23 September 2004 (23.09.2004)

PCT

(10) International Publication Number  
**WO 2004/080413 A3**

## (51) International Patent Classification:

A61K 9/70 (2006.01) A01N 45/00 (2006.01)  
A61K 31/56 (2006.01)

## (21) International Application Number:

PCT/US2004/007291

(22) International Filing Date: 11 March 2004 (11.03.2004)

(25) Filing Language: English

(26) Publication Language: English

## (30) Priority Data:

60/453,604 11 March 2003 (11.03.2003) US  
60/510,613 10 October 2003 (10.10.2003) US  
Not furnished 10 March 2004 (10.03.2004) US

## (71) Applicant (for all designated States except US):

ANTARES PHARMA IPL AG [CH/CH]; Treuhand  
von Flue AG, Baarerstrasse 95, Postfach 1260, CH-6306  
Zug (CH).

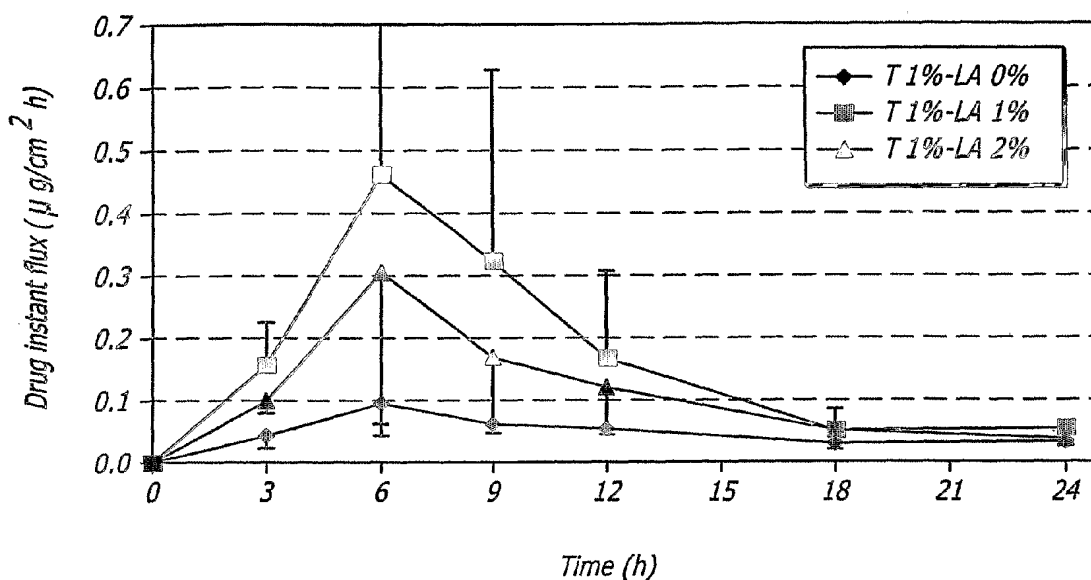
## (72) Inventors; and

(75) Inventors/Applicants (for US only): CARRARA, Dario,  
Norberto, R. [AR/CH]; Storchweg 3, CH-4104 Oberwil  
(CH). GRENIER, Arnaud [FR/FR]; 39A, rue Rogg Haas,  
F-68510 Sierentz (FR). BESSE, Céline [FR/FR]; 7, rue  
de Genève, F-68300 Saint Louis (FR). SIMES, Stephen,  
M. [US/US]; 1173 Fairview Lane, Long Grove, IL 60047(US). LEHMAN, Leah, M. [US/US]; 14308 W. Braemore  
Close, Green Oaks, IL 60048 (US).(74) Agents: FANUCCI, Allan, A. et al.; Winston & Strawn  
LLP, Patent Department, 1700 K Street, N.W., Washington,  
DC 20006-3817 (US).(81) Designated States (unless otherwise indicated, for every  
kind of national protection available): AE, AG, AL, AM,  
AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN,  
CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI,  
GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE,  
KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD,  
MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG,  
PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM,  
TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM,  
ZW.(84) Designated States (unless otherwise indicated, for every  
kind of regional protection available): ARIPO (BW, GH,  
GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),  
Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), Euro-  
pean (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR,  
GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK,  
TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW,  
ML, MR, NE, SN, TD, TG).

## Published:

— with international search report

[Continued on next page]

(54) Title: USES AND FORMULATIONS FOR TRANSDERMAL OR TRANSMUCOSAL APPLICATION OF ACTIVE  
AGENTS(57) Abstract: Uses and formulations for providing transdermal or transmucosal delivery of active agents to subjects in need thereof.  
The formulations and methods treat symptoms of hormonal disorders including hypogonadism, female sexual desire disorder, female  
menopausal disorder, and adrenal insufficiency.

WO 2004/080413 A3





— *before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments*

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

**(88) Date of publication of the international search report:**

20 July 2006

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US04/07291

## A. CLASSIFICATION OF SUBJECT MATTER

IPC: A61K 9/70( 2006.01);31/56( 2006.01);A01N 45/00( 2006.01)

USPC: 424/449;514/169

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/449; 514/169

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
WEST, MEDICINE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	US 5,891,462 A (CARRARA) 06 April 1999 (06.04.1999), See Whole Document.	1,2,4,7,8, 10-12 ----- 13- 15,17,22,27,28,34,35,3 9-42,44,45,47- 52,57,58,60,62,63,67, 68

☐

Further documents are listed in the continuation of Box C.

☐

See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T"

later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X"

document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y"

document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&"

document member of the same patent family

Date of the actual completion of the international search

12 May 2006 (12.05.2006)

Date of mailing of the international search report

06 JUN 2006

Name and mailing address of the ISA/US

Mail Stop PCT, Attn: ISA/US  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Facsimile No. (571) 273-3201

Authorized officer

Gary Kunz

Telephone No. (571) 272-0600